Design of Experiment Approach Based Formulation Optimization of Berberine Loaded Solid Lipid Nanoparticle for Antihyperlipidemic Activity

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Sailor et al.: Formulation of solid lipid nanoparticle of berberine

Berberine is an isoquinoline alkaloid possesses multitude of biological effects. However, quaternary amine cation of berberine causes poor water solubility, resulting in low bioavailability which limits its pharmacological purpose. The aim of this study was to prepare and optimize berberine loaded solid lipid nanoparticle and to evaluate its pharmacokinetic and antihyperlipidemic activity. The solid lipid nanoparticles were prepared by solvent injection method and 3^2 full factorial design was used to study the effect of concentration of polyvinyl alcohol (X_1) and amount of lipid (X_2) on particle size (Y_1) and entrapment efficiency (Y₂). The formulation was optimized using desirability function and evaluated for physicochemical, morphological, in vitro drug release and in vivo pharmacokinetic study. In vivo antihyperlipidemic activity of the formulation was also studied using high fat diet induced hyperlipidemia model. The formulation optimized by validated experimental design comprise of 1 % w/v polyvinyl alcohol, 279 mg lipid (stearic acid) to achieve particle size of 395 nm with 82.44 % entrapment efficiency. In vitro release study of berberine loaded solid lipid nanoparticle showed an initial burst release followed by slow and continuous release. Berberine loaded solid lipid nanoparticle also showed 4.13 folds improvement in relative bioavailability compared to Berberine suspension. Furthermore, Berberine loaded solid lipid nanoparticle ameliorate the levels of total cholesterol (-41 %), TG (-49 %), lipoprotein cholesterol-C (-80 %) and high-density lipoprotein cholesterol(+119 %) compared to hyperlipidemic control and also found to be better than pure drug. The prepared berberine loaded solid lipid nanoparticle are successful drug delivery system demonstrating its effectiveness in controlling hyperlipidemia due to the improved bioavailability of berberine.

Key words: Design of experiment, berberine, factorial design, solid lipid nanoparticles, antihyperlipidemic activity

In today's world, people's living standards improved which leads to the change in the food habit with major portion occupied by food with high fat. Regular intake of high fat food elevates the levels of total cholesterol and triglycerides resulted in fat accumulation, hyperlipidemia and obesity. Hyperlipidemia is a key risk factor for atherosclerosis and cardiovascular disease which is chiefly responsible for worldwide^[1,2]. Nowadays, due to the advancement of technology, isolation of medicinally important constituents tested for therapeutic effect instead of conventional traditional medicine. Several phytoconstituents, functional food and dietary supplements have already been evaluated for their antihyperlipidemic^[3-7].

Berberine (BER) is a quaternary isoquinoline alkaloid obtained from various plants of Berberis species. It

has been historically used as an anti-diarrheal, antiprotozoal, and antimicrobial agent in Ayurvedic and Chinese medicine^[8]. It also possesses multitude of biological effects, including anti-inflammatory, antidiabetic, lipid peroxidation, and neuroprotective activity^[9-12]. Additionally, it is also found to be beneficial against number of disease condition such as hyperlipidemia, obesity, polycystic ovary syndrome, metabolic syndrome, fatty liver disease, coronary artery disease^[13,14]. However, quaternary amine cation of BER

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causes poor water solubility^[15] and P-glycoprotein (Pgp) efflux drastically reduce its transport^[16,17], resulting in low oral bioavailability $(<1 \%)^{[18,19]}$ which limits its pharmacological purpose. Moreover, intramuscular and intravenous administration may lead to risk of adverse reactions, such as drug rash and anaphylactic shock^[20]. Hence, development of novel dosage form of BER is essential to solve these problems which facilitate clinical applications. Recently lipid-based formulations are widely used for the oral administration phyto-constituents. Nevertheless, of lipid-based formulation can also be formulated in different dosage form like self-emulsifying systems, multiple emulsions, microemulsions, liposomes, and solid lipid nanoparticles (SLN)^[21,22]. Among these, SLN has gained significant interest as they are made up of physiologically compatible and biodegradable lipids. These are submicron particulate drug delivery system with a size range of 10-1000 nm and comprise of solid lipid matrix capable of incorporating lipophilic and hydrophilic drugs. SLN combine the advantages of lipid emulsion systems and polymeric nanoparticle systems while overcoming the temporal and in vivo stability issues that plague the aforementioned approaches. Utilizing biological lipids is theorized to minimize carrier cytotoxicity and more controlled drug release due to increased mass transfer resistance^[23]. Thus, present work was undertaken to prepare BER loaded SLN to improve its absorption and thereby its bioavailability. Additionally, Design of Experiment (DoE) approach like 3² full factorial designs was utilize to minimize the number of trials for optimization of formulation. Lastly, high fat diet induced hyperlipidemic rat model was employed to evaluate in vivo antihyperlipidemic activity of optimized formulation.

MATERIALS AND METHODS

Materials:

BER was purchased from Yucca Enterprises, Mumbai. Stearic acid (SA), polyvinyl alcohol (PVA) (Mw: 13 000-23 000, 87-89 % hydrolyzed) and analytical solvent such as methanol, chloroform, dichloromethane was procured from Loba Chemie Pvt. Ltd., Mumbai. Dialysis bag (MW cut-off=12 000 -14 000) used for *in vitro* drug release was obtained from Himedia laboratories, Mumbai.

Preparation of SLN:

The SLN was prepared using solvent injection method^[24]. The lipid (SA) and 20 mg drug (BER) were

dissolved in 5 ml organic solvent mixture composed of dichloromethane and methanol (2:3). The aqueous phase was prepared by adding appropriate quantity of PVA into distilled water preheated at 40° and gradually heat the solution up to 85° with constant stirring until PVA is dissolve completely. The organic phase was injected drop wise into this aqueous phase (50 ml). The dispersion was continuously stirred at 1500 rpm for 2 h at room temperature (25°) and kept overnight for complete evaporation of organic solvents. Finally, the dispersion was filtered with a filter paper (Whatman Grade 6, 3 µm pore size) to remove any excess lipid and filtrate (SLN suspension) was used as such for further analysis.

Experimental design:

3² **full factorial design:** The SLN prepared by solvent injection method were optimized using 3² full factorial design. The concentration of PVA (X₁) and amount of lipid (X₂) were selected as independent variables while particle size (Y₁) and entrapment efficiency (EE) (Y₂) were selected as dependent variables (Table 1). The obtained response value for all nine factorial batches were evaluated using Design Expert software (Design Expert[®] 10.0.1.0, Stat-Ease, Minneapolis, USA). Analysis of variance (ANOVA) was used to validate design. Contour plot and three dimensional (3D) response surface plots were constructed to establish the understanding of relationship of variables and its interaction.

Optimization using desirability function: All the responses were simultaneously optimized by a desirability function using Design-Expert[®] software. In the desirability function approach, the formulations were optimized by keeping the X_1 and X_2 within the range used in present work while Y_1 at minimum and Y_2 at maximum. On the basis of these assigned goals software determines the possible formulation composition with desirability value. Overlay plot was generated to identify the design space for desired responses. The suggested formulation was prepared and evaluated for various responses, considered as optimized batch (OB).

Checkpoint analysis: Three formulations (VB1-VB3) were randomly selected from design space shown in overlay plot and prepared. These check point formulations were evaluated for responses to check the validity of polynomial equation and experimental design. The predicted and observed response of OB

TABLE 1: 3	² FULL	FACTORIAL	DESIGN	LAYOUT	WITH	CODED	AND	ACTUAL	FACTORS	AND	THEIR
CORRESPO	NDING	RESPONSES									

Batch		Independer	nt variables		Dependent variables			
	X ₁ (%	5 w/v)	X ₂ (X ₂ (mg)				
	Coded value	Actual value	Coded value	Actual value	1 (1111)	1 ₂ (70)		
BN1	-1	0.25	-1	200	584	51.31		
BN2	0	0.625	-1	200	413	64.73		
BN3	1	1	-1	200	377	73.18		
BN4	-1	0.25	0	300	732	74.86		
BN5	0	0.625	0	300	495	78.45		
BN6	1	1	0	300	417	83.98		
BN7	-1	0.25	1	400	867	75.28		
BN8	0	0.625	1	400	536	77.84		
BN9	1	1	1	400	432	81.46		

 X_1 indicates: PVA concentration; X_2 , amount of lipid; Y_1 , particle size; Y_2 , % entrapment efficacy. Constant factors: Drug, 20 mg; organic phase volume, 5 ml; aqueous phase volume, 50 ml; steering speed, 1500 RPM; temperature, 25°C

and validation batch was compared and percentage prediction error was calculated using eqn 1, to confirm the validity of design for optimization. Bias(%)=PV-OV/PV×100 (1), where PV=Predicted value and OV=Observed value.

Characterization of optimized formulation:

Total drug content: Drug content was estimated by dissolving 1 ml BER-SLN suspension in methanol (10 ml). The quantity of BER in each sample was estimated by measuring the absorbance of the clear supernatant at absorption maxima (λ_{max}) of 350 nm using Ultra-Violet (UV) spectrophotometer (1800, Shimadzu Corporation, Kyoto, Japan)^[25,26]. Total drug content for triplicate estimation was calculated.

EE and drug loading (DL): The formulation was centrifuged at 16 000 rpm for 30 min at 0° using Remi cooling centrifuge (Remi Instruments, Mumbai, India). The supernatant (0.1 ml) was diluted up to 10 ml with methanol and free drug content was determined by UV spectrophotometer^[27,28]. The percentage EE and percentage DL were calculated using eqn 2 and 3 respectively, which are as follows: EE(%)=Total drug(assay)-Free drug/Total drug×100 (2), DL(%)=Total drug-Free drug/Total lipid×100 (3)

Particle size, poly-dispersity index and zeta potential analysis: The particle size, poly-dispersity index (PDI) and surface charge (zeta potential) of the SLN formulations were determined by photon correlation spectroscopy using Zetatrac (Microtrac Inc., USA).

Fourier transform infrared (FTIR) spectroscopy and X-ray diffraction (XRD): The drug and excipient compatibility study were performed using FTIR spectrophotometer (IRAffinity-1, Shimadzu corporation, Kyoto, Japan). The FTIR spectra of pure drug and physical mixture of drug (BER) plus excipients (PVA, SA) were derived. The results were observed for any probable change in frequency of characteristics peaks of pure drug due to interaction with formulation excipients.

XRD study was done to check the crystalline properties of formulation using X-ray diffractometer. The analysis of BER, PVA, SA and BER-SLN was performed at ambient temperature. The sample was filled in a copper holder and exposed to Cu K- α radiation (40 KV×40 mA) in X-ray diffractometer (Xpert PRO MPD, Panalytical, Netherland). The sample was scanned between the angular ranges of 5-40° two theta.

Surface morphology: The shape and surface morphology of optimized formulation was observed by scanning electron microscopy (Zeiss Ultra 55 SEM, Carl Zeiss, Germany). Briefly, sample was suspended in distilled water and the dispersion was mounted on a metal strip. The sample was dried and examined under different magnification. The images obtained were recorded.

In vitro drug release: Dialysis bag methods were used for studying *in vitro* release study. The suspension equivalent to 0.5 mg BER (1.25 ml) was dispersed in phosphate buffer pH 6.8 and filled into dialysis bag (molecular weight cut-off, 12 000-14 000 Da). The bag was closed at both sides and immersed in the 900 ml of dissolution medium (phosphate buffer pH 6.8) with continuous stirring (100 rpm, $37\pm0.2^{\circ}$)^[29]. In time points, 2 ml sample was withdrawn for 24 h and replaced by same amount of fresh dissolution medium. The amount of BER in samples was determined by UV spectrophotometer after suitable dilution. The data of *in vitro* drug release were fitted to different kinetic models to determine the mechanism of drug release.

Animal:

The study was approved by Institutional Animal Ethics Committee (IAEC) and all the animal experiments and protocols were performed according to the Committee for the Purpose of Control and Supervision of Experiments on Animals (CPCSEA) guideline. The experiment was carried out on healthy adult Albino Wistar rats weighing 200-250 g of either sex. Rats will be housed in polypropylene cages, maintained under standardized condition (12 h light/dark cycle, 24°, 35 to 60 % humidity) and will be allowed free access to standard rat pellet and purified drinking water.

Experimental protocol: Thirty male Wistar Albino rats weighing 220-240 g was fasted overnight for at least 12 h, with free access to water, and randomly divided into two groups for oral administration. The BER suspension and BER-SLN was administered by oral gavage at a dose of 50 mg/kg suspended in 0.5 % w/v sodium carboxymethyl cellulose (CMC). The dose of the BER was decided on the basis of previous study^[30]. The rats were anaesthetized using mild ether anesthesia and blood samples were collected by inserting temporary cannula in lateral tail vein^[31,32]. Sparse sampling techniques (Table 2) with subgroup of 3 animals (n=3/sampling time)^[33] was used to collect the blood at alternate time interval of 0.25, 0.5, 1, 1.5, 2, 4, 6, 8, 12 and 24 h. The blood (0.2 ml) was collected into a 2 ml heparinized micro centrifuge tubes and centrifuged at 5000 rpm for 10 min at 4° to separate the plasma. The supernatant plasma was collected and stored at -20° for further analysis.

Sample treatment procedure: BER was extracted from plasma by protein precipitation method. Briefly, 100 μ l of the rat plasma sample was extracted with 100 μ l acetonitrile and thoroughly vortexed (Macro Scientific Work Pvt Ltd, Delhi, India) for 1 min. Then, the mixture was centrifuged (Sorvall ST 8R, Thermo Scientific, USA) at 5000 RPM at 4° for 15 min. The obtained supernatant was collected and under a gentle stream of nitrogen. The residue was reconstituted in 200 μ l of the High performance liquid chromatography (HPLC) mobile phase and an aliquot of 20 μ l was injected into the HPLC system. The calibration curve was prepared I similar manner by adding 20 μ l BER standard solutions (10-1000 ng/ml) into rat blank plasma (100 μ l).

Bioanalytical method: The analysis of samples for BER content was performed as per the previously validated HPLC method at 350 nm^[34]. The HPLC system (UFLC, Shimadzu Corporation, Kyoto, Japan) equipped with binary pump LC-20 AD pump, manual injector and SPD M 20A photodiode array (PDA) detector. The mobile phase consisted of acetonitrile/0.05 M KH₂PO₄/triethylamine (50:50:0.5, v/v/v). Separation was achieved by a reverse phase column, Enable C18 G (250×4.6 mm, 5 µm) with a flow rate of 1.0 ml/min.

Pharmacokinetic study: Various pharmacokinetic parameters like AUC_{0-24} , C_{max} , T_{max} , $t_{1/2}$, MRT for BER-SLN and BER-suspension calculated by non-

Group	Pat Sub group	Time point (h)									
(Treatment)	Rat Sub group	0.25	0.5	1	1.5	2	4	6	8	12	24
	1-3	х					х				
	4-6		х					х			
Group-I (BER Suspension)	7-9			х					х		
	10-12				х					х	
	13-15					х					х
	1-3	х					х				
Group-II (BER-SLN)	4-6		х					х			
	7-9			х					х		
	10-12				x					х	
	13-15					х					х

TABLE 2: SPARSE SAMPLING TECHNIQUE PROTOCOL FOR COLLECTION OF BLOOD SAMPLES AT DIFFERENT TIME INTERVALS

x indicates the time point for 0.2 ml blood collection from retro-orbital plexus of rat eyes

compartmental estimation using the PK solver 2.0 adds-in for Microsoft excel^[35]. The maximum plasma concentration (C_{max}) and the time to reach maximum plasma concentration (T_{max}) were obtained directly from plasma concentration-time curve. Area under curve AUC₀₋₂₄ h was the area under the plasma concentration-time curve from time 0 to final observed concentration time point 24 h upon oral administration. Other pharmacokinetic parameters, such as biological half-life ($t_{1/2}$) and mean residence time (MRT) were also calculated. The relative bioavailability (F) was calculated by the following eqn^[36,37]. Relative Bioavailability (F)=AUC_{test}/AUC_{Reference}×100 (4)

Antihyperlipidemic activity: Twenty-four Wistar rats of either sex (200-250 g) were divided into four groups (n=6). Group I received 0.5 % CMC (1 ml/kg, p.o) along with standard rat pellet serve as a normal control. Group II received high fat diet (HFD) composed of standard rat pellet (73 % w/w), coconut oil (25 % w/w) and cholesterol (2 % w/w)^[38,39] serve as a HFD control. Group III and IV were treated with pure BER suspension (50 mg/kg, p.o) and optimized BER-SLN (equivalent to 50 mg/kg BER, p.o) respectively along with HFD. The dose of BER was selected on the basis of previous study^[30]. All the test formulations were administered as a suspension in 0.5 % CMC and will be prepared freshly each time. HFD was given once daily for group II, III and IV for 14 days. Treatment was given orally, 2 h after the administration of HFD once daily for 14 d^[40]. At the end of the study, blood samples (0.5 ml, once) were withdrawn from retro orbital plexus. The biochemical parameters such as serum lipid level like total cholesterol (TC), triglyceride (TG), high-density lipoprotein cholesterol (HDL-C) and lowdensity lipoprotein cholesterol (LDL-C) level was were estimated by standard diagnostic kit (SPAN Diagnostic and Crest Biosystem, India).

Statistical analysis: The experimental data for pharmacokinetic study and antihyperlipidemic study were expressed as the mean \pm SD. Statistical significance between more than two groups was tested using one-way ANOVA followed by the Tukey's multiple comparisons test at 95 % confidence interval while two group comparison in pharmacokinetic data were performed using student t-test. Calculations were done using Graphpad Prism[®] software. The significance level was set at p<0.05 for all tests.

Stability study:

The optimized formulation was also evaluated for stability study as per International Council for Harmonisation guidelines. The formulation was kept in screw capped glass container at refrigerated condition 2-8°, at room temperature $25^{\circ}\pm 2^{\circ}$ (60 %±5 % RH) and at an elevated temperature $40^{\circ}\pm 2^{\circ}$ (75 %±5 % RH) for a period of 30 d. The samples were analysed for physical appearance, drug content and *in vitro* drug release at regular interval of 15 d.

RESULTS AND DISCUSSION

SLN have emerged as a safe and effective drug delivery system offers several advantages like drug targeting, biocompatibility, preventing the drug degradation, devoid of organic solvent, accommodation of hydrophilic and lipophilic drug. SLN were formulated to overcome limitation associated with phyto constituents with proven therapeutic potentials and can augment its bioavailability. The solvent injection method is a wellestablished technique modified from solvent diffusion techniques. The BER-SLN was successfully prepared by solvent injection techniques using SA as a lipid, PVA as a stabilizer. The mixture of dichloromethane and methanol was used for solubilisation of drug as well as lipid in same solvent. The advantages of this method are avoidance of high pressure homogenization, easy handling and less time consuming techniques without use of sophisticated equipment^[24,41].

In this study, SA used as a lipid matrix due to its thermal stability (m.p., 69°) at body temperature, and its biocompatibility and biodegradable characteristics. This property offers strong interaction with hydrophobic drugs results in high EE and stable core of lipids. PVA serve as a stabilizer in prepared SLN are absorbed around the emulsion droplet, form due to the dispersion of lipid phase which results in the formation of droplet in spontaneous manner. The lipid along with drug will get precipitate out due to decrease in solubility at the droplet interface.

The purpose of the full factorial design was to identify and quantify the effect of independent variables on the dependent variables. The three level two factor design is an effective approach for investigating variables at different levels with a limited number of experimental runs. The choice of independent variables was based on previous studies that showed the influence of PVA and SA on the lipid nanoparticle characterization^[25,42,43]. Nine formulations were formulated by varying amount of PVA and SA, an independent variable, based on the 3^2 full factorial design. The particle size and EE were found to be in the range of 377 to 867 nm and 51.31 % to 83.98 %, respectively (Table 1). All formulations had low values of polydispersity (0.157-0.426) indicating the uniformity of particle size.

The responses observed for factorial batches were simultaneously fitted to linear, cubic and quadratic models using Design Expert[®] software. The software recommended that the best-fitted model was quadratic for response Y_1 (R²=0.9965, Adjusted R²=0.9905) and Y_2 (R²=0.9839, Adjusted R²=0.9571) (Table 3). In this model along with main effect of independent variables, interaction and quadratic effect on dependent variables are also evaluated which can be explained by polynomial eqn 5 and 6.

Particle size: Y_1 =490.11-159.50 X_1 +76.83 X_2 -57.00 X_1 X_2 +86.83 X_1^2 -13.17 X_2^2 (5)

Entrapment efficiency: $Y_2=79.32 + 6.20X_1+7.56X_2-3.92X_1X_2-0.33X_1^2-8.64X_2^2(6)$

The positive coefficients of model terms in polynomial equation indicate a favourable effect on the responses, while negative sign of coefficient indicates an unfavourable effect on the responses. The statistical validity of each term in polynomial equation was tested using ANOVA with a corresponding p value (Table 4). The results show that two model term b_{22} (p value: 0.3295) for Y_1 and b_{11} (p value: 0.8362) for Y_2 was insignificant and suggest the necessary model reduction to improve the model. Hence, the reduced polynomial equations for Y_1 (7) and Y_2 (8) were generated by omitting the least contributing model terms and tested by F statistics in portions (Table 5) to determine whether the coefficient b_{22} for Y_1 and b_{11} for Y_2 contributes significant information for the prediction of responses or not^[44].

Particle Size: Y_1 =481.33-159.50 X_1 +76.83 X_2 -57.00 X_1 X_2 +86.83 X_1^2 (7)

Entrapment efficiency: $Y_2=79.10+6.20 X_1+7.56 X_2-3.92 X_1 X_2-8.46 X_2^2(8)$

Generally, the model is significant for "probability>F" less than 0.05, while model is not significant for "probability>F" greater than 0.05. The smaller p value and larger F-value were desired for more significant corresponding coefficients^[45]. The model F-value of 168.45 (p<0.05) for Y₁ and 36.66 (p<0.05) for Y₂ indicates the model is significant. The resulted R² for Y₁=0.9949 and Y₂=0.9836, indicates good correlation. Further Adj-R² of 0.9905 and Pred-R² of 0.9579 for Y₁

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Source	SD	R ²	Adjusted R ²	Predicted R ²	PRESS
For Y ₁ : PS					
Linear	69.75	0.8656	0.8208	0.6515	75719.22
2FI	56.92	0.9254	0.8807	0.7457	55255.57
Quadratic	16.03	0.9965	0.9905	0.9579	9145.29
Cubic	7.33	0.9998	0.9980	0.9549	9801.00
For Y ₂ : % EE					
Linear	6.02	0.7247	0.6329	0.3174	539.89
2FI	5.59	0.8025	0.6840	0.1606	663.94
Quadratic	2.06	0.9839	0.9571	0.8138	147.25
Cubic	1.30	0.9979	0.9830	0.6116	307.21

SD indicates: standard deviation; R^2 , regression coefficient; 2FI, two factor interaction; PRESS, predicted residual error sum of squares; Y_1 , particle size; Y_2 , % entrapment efficacy

	TABLE 4: RESULTS OF P	VALUE AND REGRESSION	COEFFICIENTS FOR RESPONSES
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_		Model term								
Response	Model type	b _o	b ₁	b ₂	b ₁₂	b ₁₁	b ₂₂			
	FM	490.11	-159.5	76.83	-57	86.83	-13.17			
Y ₁ : PS	p-value	0.0007	0.0002	0.0013	0.0057	0.0046	0.3295			
	RM	481.33	-159.5	76.833	-57	86.833	-			
	FM	79.315	6.195	7.56	-3.922	-0.328	-8.463			
Y,: EE	p-value	0.006	0.0051	0.0029	0.0318	0.8361	0.0101			
2	RM	79.096	6.195	7.56	-3.9225	-	-8.463			

 Y_1 indicates: particle size; Y_2 , % entrapment efficacy; FM, full model; RM, reduced model; b, coefficient of model term in polynomial equation

and for Y₂Adj-R² of 0.9571 and Pred-R² of 0.8138, were in reasonable agreement, i.e. difference is less than 0.2, indicating that the data were described adequately by the mathematical model. Additionally, the results shows that table value of F for $\alpha = 0.05$ is equal to 6.61 (df = 1, 5) while the calculated value of F (Y_1 , F_{Calc} =1.348; Y_2 , F_{Calc} =0.049) is less than the table value (F_{Tab} =6.61) indicated that the interaction term b_{22} for Y_1 and b_{11} for Y₂ does not contribute significantly to the prediction of responses and therefore can be omitted from the full model. Furthermore, the reduced model showed higher F-value for Y_1 (193.35) and Y_2 (60.07) compared to full model confirm the validity of reduced model. The p value for reduced model was also less as compared to the full model, which favours the reduced model for optimization of formulation.

In addition, the polynomial equation can also predict the influence of independent variables on responses. The quadratic eqn 7 for Y_1 shows that the PVA concentration (X_1) has largest coefficient. It indicated that the PVA concentration (X_1) was the most influential factor and had a significant and negative effect on Y_1 while for Y_2 , PVA concentration (X_1) and amount of lipid (X_2) both have significant positive.

The obtained results can be observed visually in the contour plots and surface plots. Response surface graph of Y_1 (fig. 1) shows the decrease in particle size with increase in PVA concentration with fixed quantity of lipid. The effect is primarily ascribed to the enhanced interface stabilization in external aqueous phase. However certain study reported that this phenomenon continues up to certain extent of PVA concentration, above which, increase in viscosity reduce the net shear stress required for droplet break down, leading to increase in the particle size^[41,46-48]. In this study, higher

level of PVA concentration able to maintain the high shear stress which reduces the particle size. In addition, reduce particle size also increase the surface area of particle that increase the possibility drug attachment to surface and increase the entrapment efficiency.

Conversely, increase in particle size and EE due to increase in amount of lipid (Y_2) as shown in fig. 1 may be explained in terms of enhancement of viscosity of organic phase resulted in resistance alongside the shear force during emulsification. Similarly, increase in the viscosity of organic phase hindered the diffusion of drug to aqueous phase and thereby increase the entrapment efficiency. Furthermore, increase particle size reduces the diffusional drug loss from nanoparticle and increase the drug content^[49-51].

The optimization process was performed to develop desirable product by setting the Y₁ at minimum and Y_2 at maximum while X_1 and X_2 within the range. The software suggested that X1=0.99 %, X2 =279 mg would yield the desire response, Y_1 =403.90 nm and $Y_2 = 83.98$ %, with 0.972 desirability (D) value (fig. 2). Apart from this numerical optimization method, graphical optimization using response overlay plots were also constructed to visualize optimum value of formulation variables (fig. 3). The overlay plot yellow region represents design space, the common area for exploration of desirable response. However, due to the experimental error uncertainty was found in design space and which is not constant. Therefore, tolerance interval was set at 0.99 with 95 % confidence interval to further improve the design space^[52]. These refinements reduce the risk of future batch to produce inconsistence response throughout including boundary of the design space.

	Source	SS	df	MS	F Value	p-value (Prob>F)	R ²	Adj R ²	Pred R ²	F statistic
Y ₁ : PS										
Degrada	FM	216500	5	43296.89	168.45	0.0007	0.9965	0.9905	0.9579	F =1 348
Regression	RM	216100	4	54034.43	193.35	<0.0001	0.9949	0.9897	0.9662	$F_{-} = 6.61$
Residual	FM	771.11	3	257.04						DF = (1,5)
	RM	1117.83	4	279.46						α =0.05
Y ₂ : % EE										
Pogracion	FM	778.21	5	155.64	36.66	0.0068	0.9839	0.9571	0.8138	F =0.049
Regression	RM	777.99	4	194.50	60.07	0.0008	0.9836	0.9673	0.8138	$F_{-} = 6.61$
Desidual	FM	12.74	3	4.25						DF = (1, 5)
Residual	RM	12.95	4	3.24						α =0.05

TABLE 5: CALCULATIONS FOR TESTING THE MODEL IN PORTIONS

SS indicates: sum of squares; df, degrees of freedom; MS, mean of squares; F, Fischer's ratio; R^2 , regression coefficient; FM, full model; RM, reduced model; F_{Tab} , table value of F; F_{Calc} , calculated value of F



Fig. 1: Contour plot (A) and its Response surface (B) shows effect of X1 and X2 on particle size (Y1) and Entrapment efficiency



Fig. 2: Contour plot for overall desirability of SLN as a function of \mathbf{X}_1 and \mathbf{X}_2

Validation of experimental design and polynomial equations were performed by check point analysis. For that, one OB suggested by software based on desirability value and three formulations (VB1-VB3) were randomly selected from the design space in overlay plot were prepare to evaluate the responses (fig. 3). A low value of percentage prediction error (<10 %) in case of OB and check point batches (VB1-VB3) depicted that there was reasonable agreement in predicted and experimental values (Table 6). These results suggest the success of experimental design along with desirability approach for the evaluation and optimization of formulation.

Total drug content of optimized formulation was found to be 19.03 ± 0.27 mg indicates smaller amount of drug loss during the preparation of formulation. It may be explained by low aqueous solubility of BER

leads to decrease in drug loss during the preparation of formulation.

Drug can be incorporated into SLN by several ways like adsorbed on surface, entrapped in lipid matrix, encapsulated in inner core. As explained in contour plot and 3D surface graph, both independent variables significantly affect entrapment efficiency. PVA is a polymer responsible for particle coating and viscosity. The OB shows highest amount of PVA which cause increase in viscosity of external phase. It facilitates higher amount of drug to incorporate into lipid matrix. The EE of OB was found to be 82.44 ± 2.03 % while DL was 5.67 ± 0.21 %. The higher EE may be due to the drug dissolved in lipid matrix remained associated with matrix^[53].

Particle size is a key factor that may influence the fate of nanoparticles in the biological system^[54]. Photon correlation spectroscopy is the most widely used method for the particle size measurement of SLN. The optimized formulation OB shows particle size of 395±17.15 nm and 0.0852±0.0112 polydispersity



Fig. 3: Overlay plot showing a location of optimized formulation (OB) and Validation batch formulation (VB1-VB3) in design space

index (fig. 4). The polydispersity index is a sign of homogeneity of size distribution. The particle size of OB indicates that the present method can able to produce the particle in nanometer range. Zeta potential is also an important factor that may influence the stability and *in vivo* behaviour of SLN^[55]. The formulation shows negative zeta potential of -18.30±0.14 mV which may be due to the SA^[56]. The higher values of zeta potential enhance the stability of SLN by increasing the repulsion of particle, and thereby preventing aggregation^[55].

FTIR study of drug loaded formulation, plain drug, PVA and SA was used to check the interaction and DL in formulation. Fig. 5 illustrated that the characteristic peak of BER 1505.21 cm⁻¹ (aromatic C=C stretching), peak at 1277 cm⁻¹ and 1231 cm⁻¹ (C-O-C stretching), 3554 cm⁻¹ and 3400 cm⁻¹ (C-H stretching) and 3203 cm⁻¹ and 3188 cm⁻¹ (aromatic C-H stretching) has disappear after formulate into SLN indicate the entrapment of drug in lipid matrices. Additionally, PVA peak at 2882 cm⁻¹and 2782 cm⁻¹ (C-H stretching), band appear above 3650 cm⁻¹ (O-H stretching) and 1444 cm⁻¹ (C=O stretching) has also disappear in SLN indicate that no strong chemical interaction occurred between the drug and excipients.

The solid state of lipid particles affects the release properties of SLN. XRD is the widely used techniques for determination of crystallinity and polymorphic behaviour of the component of SLN which helps to determine whether a drug will be expelled or firmly incorporated during storage^[57]. Fig. 6 shows the XRD patterns of BER, BER-SLN, SA and PVA, The XRD



TABLE 6: CHECKPOINT BATCH WITH THEIR PREDICTED AND OBSERVED VALUE OF RESPONSES

Batch ⁻	Independer	nt Variables	Part	cicle size (Y ₁)	(nm)	Entrapment efficiency (Y ₂) (%)			
	X ₁ (% w/v)	X ₂ (mg)	Observed	Predicted	Prediction error (%)	Observed	Predicted	Prediction error (%)	
OB	0.99	279	395	404	2.23	82.44	83.98	1.83	
VB1	0.77	222	409	390	-4.87	67.45	71.66	5.74	
VB2	0.41	243	571	539	-5.94	71.64	67.09	-6.78	
VB3	0.40	357	627	672	6.70	76.93	78.28	3.00	

X₁ indicates: PVA concentration (%); X₂, amount of lipid (mg); Y₁, particle size (nm); Y₂, % entrapment efficacy



Fig. 5: FTIR study of BER, PVA, SA and BER-SLN

patterns of BER showed the sharp peaks at a different diffraction angle reveal that the drug is present in crystalline form. Similarly, crystalline peak was also observed for SA. However, XRD pattern of BER-SLN showed the diminished peaks of SA indicate reduction in crystallinity, and no characteristic sharp peaks of drug indicate the conversion of crystalline form of drug to amorphous form in SLN. These results confirm the successful wrapping of drug in lipid carrier.

Surface morphology:

SLN were found to be spherical in shape with different size particles as revealed by SEM study (fig. 7). Generally, spherical particle has smallest specific surface area and hence are stabilized with small amount of surfactant. In addition, spherical particles provide controlled release of incorporated drugs due to the longest diffusion pathway^[58].

The in vitro release study shows that more than ~60 % of BER was released from BER-SLN while BER suspension shows ~90 % release during the first 4 h followed by slow and continuous release for drug up to 24 h (fig. 8). These may be due to the existence of unincorporated drug in the outer phase and drug adsorbed on surface cause burst release initially which is followed by slow diffusion of encapsulated drug through the lipid matrix^[59,60]. These *in vitro* drug release data were fitted in to Zero order, First order, Higuchi and Korsmeyer-Peppas model to determine the kinetics and mechanism of drug release. Results showed that the data were best fitted in Korsmeyer-Peppas model ($R^2=0.9719\pm0.0032$) (Table 7). The value of release exponent "n" was found to be 0.2733±0.0064, suggesting Fickian transport mechanism. In this kind of transport, the flux is the rate at which the material diffuses away from the interface^[61]. Drug release from SLN is dependent on the diffusion of the drug molecule



Fig. 6: XRD of BER, PVA, SA and BER-SLN



Fig. 7: SEM of BER-SLN

through lipid matrix and *in vivo* degradation of lipid matrix.

The HPLC methods used for estimation of BER showed retention time of 6.374 min. The calibration graph for BER in plasma was linear over the range of 10-700 ng/ml. The data for calibration graph of BER in plasma by HPLC was fitted to a linear equation Y=83.53X+10.79 with correlation coefficient of $R^2=0.997$, which indicated the linearity of the plot. These results were found to be in compliance with the previously validated bioanalytical method^[34].

The single oral dose (50 mg/kg) bioavailability study of BER suspension and BER- SLN are shown fig. 9, Table 8. In this study sparse sampling techniques was utilized to collect the blood of subgroup animal on alternate time interval. Generally, the animal's physiological limitation obliged the blood sampling methods and for the repeat blood collection at short intervals recommended volume of blood is <1 % of total body weight (0.25 ml) in 24 h. The sampling technique used in present study significantly reduces the number of samples as well as volume of blood from collected from each animal^[62].

The mean plasma drug concentration vs. time profile for single oral administration of BER suspension and BER-SLN were shown in fig. 9 and Table 8 shows the calculated pharmacokinetic parameters. The C_{max} for BER-SLN (192.32±5.25 ng/ml) was found to be significantly (p<0.05) higher (2.87 fold) than BER



Fig. 8: In vitro drug release from BER-SLN and BER suspension

TABLE 7: DRUG RELEASE KINETIC MODELING OF OPTIMIZED BATCH

Kinetic model	R ²	n	k
Zero order	-1.0947	-	5.625
First order	0.6988	-	0.252
Higuchi	0.6002	-	23.776
Korsmeyer-Peppas	0.9736	0.273	40.891



Fig. 9: Pharmacokinetic (PK) profiles of BER in rat plasma after oral administration BER-SLN and BER suspension (mean ± SD, n=3/time point)

suspension (66.88±2.15 ng/ml). T_{max} for BER-SLN and BER suspension were found to be 2 h and may be attributed to initial burst release of BER from SLN. Furthermore, the half-life $(t_{1/2})$ and MRT value of BER-SLN was found to be nearly double compared to BER suspension, which may be due to sustained and complete release of BER from SLN and supported by in vitro release profile. In addition, AUC₀₋₂₄ for BER-SLN (1321.16±107.81 ng h/ml) were found to be significantly (p<0.05) higher than BER suspension (335.39±42.64 ng h/ml). This indicated that BER-SLN could improve the bioavailability of BER by 3.94 fold compared to BER suspension. The reason for this improvement in bioavailability may be due to the ability of lipid-based formulations to reach the oral lymphatic region after absorption and reaching to systemic circulation^[21,63-65]. Thus, lipid-based formulations could play important role in enhancement of its bioavailability.

The antihyperlipidemic activity of BER-SLN and pure drug (BER) were evaluated in high fat diet induced hyperlipidemic rats. Generally, the consumption of high fat and cholesterol diet affect the lipid profile in plasma. Several studies also confirm that the high fat diet composed of saturated fatty acid (coconut oil) with cholesterol often been used to induce hyperlipidemia in animal models due to abnormal lipid metabolism^[39,66-68]. It is also important to note that coconut oil alone does not cause hyperlipidemia without cholesterol supplement^[69]. In this study, high fat diet (coconut oil and cholesterol) was administered for 14 d to rats for the induction of hyperlipidemia. Hyperlipidemia was confirmed from increased in TC (+105 %; p<0.0001), TG (+107 %; p<0.0001), LDL-C (+172 %; p<0.0001) and decreased in level of HDL-C (-169 %; p<0.0001), in rats that consumed HFD for 14 d compared to control.

However, treatment with BER-SLN significantly reversed the levels of TC (-41 %; p<0.05), TG (-49 %; p<0.0001), LDL-C (-80 %; p<0.0001) and HDL-C (+119 %; p<0.0001) compared to HFD control. BER suspension treatment also show alteration in the level of TC (-21 %; p<0.05), TG (-21 %; p<0.05), LDL-C (-16 %; ns) and HDL-C (+58 %; p<0.05) compared to HFD control (fig. 10). These findings suggest that the prepared BER-SLN was more efficient in controlling hyperlipidemia as compared to BER suspension. These can be attributed to enhance bioavailability of lipid based formulation via various mechanism

TABLE 8: PHARMACOKINETIC PARAMETERS FOR SINGLE DOSE ORAL BIOAVAILABILITY OF PLAIN BER, SLN

Pharmacokinetic parameters	BER suspension	BER-SLN
C _{max} (ng/ml)	66.88±2.15	192.32±5.25*
T _{max} (h)	2	2
AUC ₀₋₂₄	335.39 ± 42.64	1321.16±107.81*
t _{1/2} (h)	2.35 ± 0.86	5.71 ± 1.46*
MRT (h)	4.41 ± 0.55	8.02 ± 1.21*
Relative Bioavailability (F)		413.69

Data were expressed as mean \pm SD; p<0.05 indicates statistically significant compared with BER suspension

such as modification of drug release, interaction with enterocyte based transport processes and stimulation of lymphatic transport^[65] which was also supported by *in vivo* pharmacokinetic study. Thus, BER-SLN can be exploited as an antihyperlipidemic therapeutic agent or adjuvant in existing therapy.

The results of the stability study revealed that the formulation remains stable at different condition of temperature and relative humidity (RH) for 1 mo (Table 9). The *in vitro* release study shows close resembles with initial formulation (fig. 11). These results indicated that the BER-SLN could potentially be exploited as a delivery system with improved drug ee and controlled drug release.

In summary, the BER-SLN prepared by solvent injection method and optimized by full factorial design can able to release the drug in sustained manner. The BER-SLN can also able to improve the relative bioavailability of BER by more than 3.94-fold compared to BER suspension. Moreover, the BER-SLN was more efficient in controlling hyperlipidemia by alleviation of lipid markers in hyperlipidemic rats supports the findings of bioavailability. Thus, BER-SLN could be a potential



Fig 10: Effect of BER-SLN on lipid levels of high-fat diet induced hyperlipidemic rats. Value are expressed as mean±SD of six Rats. NS denoted non-significant, * (p≤0.05) and *** (p≤0.001) denotes value deviate significantly compared to HFD control

TABLE 9: STABILITY STUDY OF OPTIMIZED FORMULATION

No of	2 [.] (Subfreezing)	-8° Temperature)	25°±2°/60 5	% RH±5 % RH	40°C±2°C/75 %±5 % RH		
Days	Physical Appearance	Drug Content (mg)	Physical Appearance	Drug Content (mg)	Physical Appearance	Drug Content (mg)	
0	No Change	19.034±0.16	No Change	19.034±0.16	No Change	19.034±0.09	
15	No Change	19.018±0.06	No Change	19.018±0.05	No Change	19.005±0.04	
30	No Change	19.018±0.06	No Change	19.012±0.05	No Change	18.983±0.03	

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Data was expressed as mean \pm SD (n=3)



Fig. 11: In vitro drug release of optimized formulation kept at stability condition (A) 2-8° (B) $25^{\circ}\pm2^{\circ}$ 60 % RH±5 % RH and (C) $40^{\circ}\pm2^{\circ}$ 75 % ±5 % RH

drug delivery system to enhance the hyperlipidemic effect and benefiting the patients by avoiding repeated and high dose administration.

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