

Lyophilized Ethinylestradiol Nanosuspension: Fabrication, Characterization and Evaluation of *in vitro* Anticancer and Pharmacokinetic Study

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Powar and Hajare: Lyophilized Nanosuspension for Bioavailability Enhancement

The present investigation was aimed to fabricate lyophilized nanosuspension of poorly soluble ethinylestradiol in order to enhance oral bioavailability and stability. The top-down high-pressure homogenization technique was used to formulate the desired dosage form. The formulations were characterized and optimized for compatibility, crystallographic investigation, surface topography, drug release, *in vitro* apoptosis and cytotoxicity and *in vivo* pharmacokinetic investigations. The mean particle size and zeta potential of the optimized lyophilized ethinylestradiol nanosuspension were 220 ± 0.37 nm and -19.3 ± 6.73 mV, respectively. The surface topographical studies showed needle-shaped particles from bar shape upon nanonization by high-pressure homogenization technique. Differential scanning calorimetry and X-ray powder diffraction studies confirmed the crystalline nature of ethinylestradiol after nanonization. The dissolution rate of ethinylestradiol nanosuspension was improved as compared to ethinylestradiol powder. *In vitro* cytotoxicity of ethinylestradiol nanosuspension on MCF-7 cell line showed more than 80 % inhibition of viability of cells relative to that produced by ethinylestradiol aqueous dispersion. Noteworthy, apoptotic cells treated with ethinylestradiol nanosuspension showed shrinkage, followed by fragmentation and cell death. Significantly, ethinylestradiol nanosuspension enhanced C_{\max} and AUC_{0-t} by 1.5-fold and 1.7-fold compared to that of the aqueous solution. The relative bioavailability of ethinylestradiol was enhanced 2-fold and high concentrations were found to be distributed to liver, spleen and stomach. Thus lyophilized nanosuspension could be a better approach to enhance solubility, oral bioavailability and stability of ethinylestradiol in pharmaceutical formulations.

Key words: Ethinyl estradiol, lyophilized nanosuspension, stability, cytotoxicity, apoptosis, bioavailability

Ethinylestradiol (EE), (17a)-19-norpregna-1,3,5-(10) trien-20-yne-3,17-diol is an estrogenic component, which is widely used in hormone replacement therapy and as an oral contraceptive. EE is mostly found in all birth control pills in combination and this is a special oestrogen used as an oral contraceptive with increased demand^[1-3]. It is also known for its effectiveness to treat breast cancer, prostate cancer^[4-9], as high-dose EE is an effective first-line treatment and also used for treatment endocrine resistance to aromatase inhibitors and tamoxifen^[10]. EE is yellow to white crystalline powder, insoluble in water but soluble in ether, ethanol, acetone, chloroform and dioxane. It is also found to be soluble in dilute alkaline solutions and vegetable oils. It is available in small doses alone or with a combination^[11]. However, EE has a poor aqueous solubility, which is the biggest hurdle in the clinic application for cancer

treatment. Lower solubility leads to complications in drug delivery like unpredictable absorption and thus unacceptable oral bioavailability. Due to extensive first-pass hepatic metabolism after oral administration, EE has 40 % of systemic bioavailability due to its initial conjugation within the gut wall^[11]. Therefore, solubility enhancement of EE should be considered first for its development.

Various traditional approaches are used to enhance the solubility of poorly soluble drugs, which include micronization, use of cyclodextrin and co-solvents^[11].

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But unfortunately, the problem of bioavailability remains unsolved in many cases. In the case of micronization, sufficient surface area is not produced in order to enhance the dissolution velocity of poorly water-soluble drugs. Thus, pharmaceutical industry focus shifted to nanonization (formulation of nanosuspension, NS) from micronization^[12].

In scientific research, nanomedicines attained prominence due to application as these are advantageous due to greater surface area owing to their particle size^[13,14]. In the last few decades, many new drugs failed to reach the clinic due to issues related to solubility, dissolution and bioavailability^[15,16]. This might be due to a lack of dose proportionality, uncertain drug absorption, poor dissolution and inter-intra subject variability^[17]. Fabrication of practical water-insoluble or very slightly soluble drugs to NS appeared advantageous to circumvent previously mentioned problems^[18]. NS is a submicron colloidal suspension, which consists of dispersed drug particles in water along with polymer as stabilizer or surfactant through top-down or bottom-up techniques^[19,20]. NS has emerged as a potential solubility enhancement technique more recently^[21], in which the poorly-soluble drugs are suspended in dispersion without any matrix material. NS of various drugs are prepared with a small amount of stabilizer below critical micelle concentration to stabilize the formulation. Generally, most nanoparticle formulations are developed using a larger concentration of excipients but it is not the case with NS, as most part is the drug. Due to the use of only a small concentration of stabilizers in formulation of NS, toxicity issues are negligible while offering better physical and chemical stability with ease of scale-up as compared to amorphous form. NS enhances the solubility of poorly soluble drugs in aqueous as well as non-aqueous media. Increased solubility leads to an increase in the quantity of drug absorbed to attain maximum plasma level at a faster rate. The reduced particle size makes these drugs suitable for intravenous administration without blocking blood capillaries. This technique is applicable to molecules that have poor permeability, poor solubility, or both such as the BCS class II and IV drugs^[22-25]. For developing a NS product, various methods have been reported to be employed that include top-down techniques such as media milling, high-pressure homogenization (HPH), sonication and bottom-up technique of nanoprecipitation. To enhance the physical stability of NS, numerous solidification techniques have been used, which include lyophilization, spray drying, rotary evaporation and many more based

on the physical properties of drugs and characteristics of the final formulation^[1,26-28]. Out of all techniques listed above, lyophilization is predominately used for solidification of NS, as it offers several merits which include suitability for drying of thermolabile drugs, easy reconstitution of the formulation before use, enhanced storage stability and ability to produce high-value products without excessive damage^[21]. Thus the development of EE in lyophilized NS form could be a useful tool to tackle the aforesaid problems.

In present investigation, an attempt was made to prepare NS of EE using a top-down technique such as HPH, along with Tween 80 as a stabilizer and soya lecithin as a polymer to inhibit particle aggregation with an objective to enhance the bioavailability and stability of EE as a lyophilized NS. The EENS prepared was lyophilized and the zeta potential, particle size, polydispersity index (PDI), surface topography, dissolution efficiency, *in vitro* cytotoxicity, *in vivo* pharmacokinetics and biodistribution and the stability of the lyophilized product were studied.

MATERIALS AND METHODS

EE and methotrexate were gifted by Cipla Ltd. Goa, India. Tween 80 and mannitol was procured from Merck Specialities Pvt. Ltd. Mumbai, India. Soya lecithin (Phospholipon® 90 H) was gifted by Lipoid GmbH, Germany. high performance liquid chromatography (HPLC) grade methanol was procured from Thermo Fisher Scientific Pvt., Ltd., Mumbai, India. Double-distilled water was prepared in the laboratory and all other reagents used in the study were of analytical grade.

Formulation and lyophilization of EENS:

EENS were fabricated using HPH (Panda Plus 2000, GEA Niro Soavi, Germany). To prevent blocking of the homogenizer valve, the coarse powder of EE (0.5 mg/ml) was first dispersed in the aqueous stabilizer solution of Tween 80 (0.15 % v/v) and soya lecithin (30 mg) using a digital homogenizer at 3000 rpm for 1 h to form the primary NS. The primary NS was further processed through an HPH with three homogenization cycles at 250, 700 and 1200 bars, followed by maximum cycles at 1500 bar. By varying the number of homogenization cycles and keeping process temperature constant at 25°, different particle size EENS were obtained^[29]. Liquid EENS formulations were processed for lyophilization using laboratory freeze dryer (Freezone12, Labconco, MO, USA) with mannitol (6 % w/w) as a cryoprotectant.

The formulations were pre-freeze at -30° for 12 h. The primary drying was performed at -53° and 0.016 mBar for 24 h. The secondary drying was performed at 10° for 8 h and was followed by drying at 25° for 4 h with a gradual increase in temperature at $1^{\circ}/\text{min}$. Finally, the temperature of the cold trap was maintained at -53° until completion of the drying process. The resultant powder of EENS was further used for subsequent evaluation studies^[29-31].

Particle size, PDI and particle charge of lyophilized EENS:

Particle size, zeta potential, and PDI of the prepared EENS was measured using a Zetasizer 300 HAS (Malvern Instruments, Malvern, UK). Prior to size determination, lyophilized NS was redispersed in distilled water. Data obtained were mean average values of 3 independent samples that are prepared under the same formulation conditions^[30,32].

Scanning electron microscopy (SEM):

The SEM was used to study the surface morphology of EENSs which examines sphericity, discreteness and surface properties of NPs. SEM studies were performed using an SEM (Jeol JSM-6360, Japan) at 20 kV accelerating voltage and high vacuum. Before analysis, lyophilized EENS were first placed on two-sided carbon tape and then, sputtered with gold-palladium alloy up to 3–5 nm of thickness^[30,33].

Inverted phase contrast microscopy:

The microstructures of EENSs were characterized using inverted phase-contrast microscopy. Formulations were first diluted with distilled water (1:10) and placed on a glass slide to prepare a thin layer. The excess of the sample was wiped-off using filter paper. It was allowed to dry for half an hour at room temperature (RT) and then observed under the microscope.

X-ray powder diffraction (XRPD) analysis:

The XRPD spectra of the EE, physical mixture (PM) and optimized EENS were obtained using an X-ray diffractometer (Philips Analytical XRD, PW 3710) with Cu-K α radiation (1.54 Å), at 40 kV, 40 mA by passing through a nickel filter. The samples were analyzed in the 2θ angle range of 5 to 80° . The range and the chart speed were 5×10^3 CPS and $10 \text{ mm}/^{\circ}2\theta$, respectively^[31].

Differential scanning calorimetry (DSC) analysis:

The thermal behavior of EE, PM of EE with

excipients and optimized EENS were studied using a Perkin Elmer 4000e module controlled by PYRIS Version-11.1.0.0488 (PerkinElmer, Inc., USA). For each analysis, before heating under nitrogen purging (20 ml/min), the samples (1 mg) were placed in sealed aluminium pans, and scanned at a scanning rate of $10^{\circ}/\text{min}$, for a temperature range of 30° to 350° ^[31].

In vitro dissolution study:

Dissolution studies on EE powder and optimized EENS were carried out using USP type-II apparatus. Weighed quantities of samples were transferred into the dissolution apparatus (ElectroLab TDT-08 L, India) containing 900 ml of simulated gastric fluid (SGF) as a medium with pH 1.2. The shaft speed was set to 50 rpm at medium temperature $37 \pm 0.5^{\circ}$. Samples (5 ml each) were withdrawn at 10, 20, 30, 40, 50 and 60 min time intervals and the fresh buffer was added to maintain the sink conditions. The samples were collected and filtered using Whatman filter paper (0.25 μm , Whatman Inc., USA) and analyzed using UV spectrophotometer at 280 nm^[33,34].

In vitro cytotoxicity study on MCF-7 cells:

Cytotoxicity of optimized EENS and EE aqueous dispersion (EEAQD) was studied on human MCF-7 breast cancer cell line. Cell line was cultured and maintained at 37° in a humidified 5 % CO_2 incubator (NBS Eppendorf, Germany). In each well of a 96 well microliter plate, about 50 μl 1×10^5 cells/ml cell suspension was seeded and final volume was made to 150 μl by adding Dulbecco's modified Eagle medium (DMEM) media. Dilutions of EENS were made in DMEM media and about 100 μl of different concentrations of EENS and EEAQD (62.5, 125, 250, 500, 1000 $\mu\text{g}/\text{ml}$) were added and incubated for 48 h in presence of 5 % CO_2 at 37° in an incubator. After 48 h, 20 μl 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) reagent (5 mg/ml) was added to the wells and the plates were kept for 4 h to incubate in dark place at RT. The plates were covered with aluminium foil as MTT reagent is photosensitive. Without disturbing the precipitated formazan crystals, the supernatant was removed carefully and 100 μl dimethyl sulfoxide was added to dissolve these crystals. The optical density (OD) was measured using the ELISA microplate reader at 492 nm. Baseline was obtained using culture medium and the wells with only cells were used as control. With an assessment of colorimetry and spectrophotometry, the *in vitro* growth inhibition effect of EENS and EEAQD was measured

by the conversion of MTT into formazan blue by living cells. The study was performed in triplicate. The generated dose-response curve was used to determine the IC_{50} of test samples required to inhibit the growth of cells by 50%. The % growth inhibition was calculated using the following Eqn. 1, growth inhibition (%) = $1 - (\text{mean OD of a test compound}) / (\text{mean OD at untreated cells}) \times 100$.

Direct microscopy:

The entire plate was observed under an inverted phase-contrast tissue culture microscope (Olympus CKX41 with Optika Pro5 CCD camera) after 48 h of treatment with EENS and EEAQD. Changes in cell morphology such as shrinking or rounding of cells, granulation and vacuolization in the cytoplasm were considered as indicators of cytotoxicity.

Fluorescent microscopic determination of apoptosis using double staining method:

The MCF-7 cells were washed using cold phosphate buffer saline (PBS) solution after treating them with different concentrations of EENS and EEAQD (13.23, 26.45 LD_{50} , 52.91 $\mu\text{g/ml}$) for 48 h, followed by staining with the mixture of acridine orange (AO; 100 $\mu\text{g/ml}$) and ethidium bromide (EB; 100 $\mu\text{g/ml}$) for 10 min at RT. The stained cells were washed twice with PBS solution and observed under a fluorescent microscope (Olympus CKX41 with Optika Pro5 camera) using a blue filter^[35]. Based on staining reactions, the cells were categorized as normal green nucleus (living cells), bright green nucleus with fragmented or condensed chromatin (early apoptotic), orange-stained nuclei with fragmentation or chromatin condensation (late apoptotic) and uniformly orange-stained cell nuclei (necrotic cells).

Pharmacokinetic and biodistribution study in Sprague Dawley rats:

Pharmacokinetic and biodistribution studies were performed using Sprague Dawley rats, weighing 200-220 g, purchased from Global Bioresearch Solutions Pvt. Ltd., Pune. The Institutional Animal Ethics Committee of Bharati Vidyapeeth College of Pharmacy, Kolhapur, Maharashtra, India has approved the study protocol (BVC PK/CPCSEA/IAEC/01/14/2017-2020). Prior to the experiment, rats were kept on overnight fast with access to water *ad libitum*. These rats were randomly divided into 3 groups (n=3). Group I was given optimized EENS (test group), group II was treated with EEAQD (standard group), whereas group III was given normal saline solution (control group).

On the day of the experiment, samples and dosing (0.5 mg/kg) of optimized EENS and EEAQD were prepared freshly and administered orally to rats using a feeding cannula. Under mild anesthesia, blood samples (0.5 ml) were collected at 0, 2, 3, 4, 6, 12, 24, 36 and 48 h from the retro-orbital vein and were transferred into a tube containing EDTA. Immediately blood samples were centrifuged at 3000 rpm for 10 min at 4° to separate plasma and were stored at -20° until analysis.

The animals were sacrificed (n=3) by cervical dislocation. EE levels in vital organs was measured 72 h after dose administration. Samples of liver, spleen, heart, brain, stomach, lungs, and kidney were homogenized quickly followed by centrifugation and the clear supernatants obtained were stored at -20° till analysis. The blood plasma and tissue samples were mixed with 20 μl internal standard solution (methotrexate, 5 $\mu\text{g/ml}$). Deproteinization was done by adding 100 μl acetonitrile to 50 μl plasma sample and 300 μl acetonitrile to 200 μl of clear tissue homogenates followed by centrifugation at 6000 rpm for 15 min at 4°. Transparent supernatant obtained was filtered using a 0.20 μm syringe filter and injected into the HPLC system for determining EE content in plasma and tissue samples^[34,36].

HPLC analysis:

EE content was analyzed using a reverse phase-HPLC system with a UV detector and with a pump (Model Jasco PU-2080, intelligent HPLC pump). A reverse phase C18 column (150×4.6 mm, pore size 5 μm , Phenomenex) was used to achieve chromatographic separation. The mobile phase optimized was 70:30 v/v mixture of water and acetonitrile. The separation was carried out under an isocratic condition with a constant flow rate of 1.0 ml/min, with 20 μl injection volume, at a column temperature of 25°, and wavelength of 280 nm. The calibration curve for EE in plasma was linear within the concentration range 0.5-10 $\mu\text{g/ml}$ with correlation coefficient $R^2=0.9932$, with methotrexate as an internal standard. The experimental data are expressed as mean±SD^[37].

Pharmacokinetic analysis:

The pharmacokinetic analysis of the plasma concentration-time profile was carried out employing the non-compartmental model using Microsoft Excel (Microsoft office 2016). The PK parameters were directly obtained from plasma concentration data,

including area under the plasma concentration time curves (AUC_{0-t}), maximum plasma concentration (C_{max}), time to reach maximum plasma concentration (T_{max}), elimination half-life ($t_{1/2}$), mean residence time (MRT), elimination rate constant (K_{el}), volume of distribution (VD), clearance (Cl), and relative bioavailability (F_{rel}). F_{rel} of lyophilized EENS after oral administration was computed according to the following formula with the EEAQD as a reference, Eqn. 2, $F_{rel} = (AUC_{test}) / (AUC_{reference}) \times 100$. All data were expressed as mean \pm SD^[30].

Stability studies:

Stability studies of optimized lyophilized EENS and liquid EENS were performed as per ICH Q1A (R2) guidelines. The formulations were wrapped in aluminum foils and stored at 4° (refrigerator), RT in shadow and at 40 \pm 2° temperature and 75 \pm 5 % relative humidity (in a stability chamber) for 6 mo and at specific time interval drug content and particle size of the stored samples were evaluated to obtain chemical and physical stability^[38].

Statistical analysis:

The data generated was analyzed using multilinear regression analysis, ANOVA and lack-of-fit tests. To test the statistical significance, wherever applicable, student's t-test was used and expressed as mean \pm SD (n=3).

RESULTS AND DISCUSSION

EE is a coarse micronized powder with fine white texture, poor flow properties and aqueous solubility. The coarse EE particles bear average particle size of 5-7 μ m, with a PDI of 1.38 indicating broad size distribution. The freshly prepared NS was lyophilized to enhance its stability. The lyophilized EENS powder was smooth in appearance with a particle size of 220 \pm 0.37 nm (fig. 1A), which was easily re-dispersed

upon gentle shaking. It was reported that narrow and uniform particle size distribution favours dissolution enhancement, boosts intestinal absorption and improves oral bioavailability^[36]. Optimized EENS showed PDI value equal to 0.22 \pm 0.15 indicating narrow distribution of particles and thus better stability of NS.

Another important significant index is zeta potential, which directly affects the stability of the dispersion system, as it reflects steric or electrostatic barriers preventing aggregation and agglomeration of nanoparticles. When drug particles possess very low zeta potential value to provide sufficient steric or electric repulsion between each other, aggregation of particles is likely to occur. Generally, for electrostatically stabilized systems, maximum -30 mV of zeta potential or sterically stabilized formulation system at least -20 mV was sufficient for physical stabilization of NS (fig. 1B). The zeta potential of reconstituted EENS was -19.3 \pm 6.73 mV indicating good physical stability of the optimized NS^[36].

The coarse EE particles under SEM exhibited an average particle size of 5-7 μ m with a broad size distribution (fig. 2A). The SEM (fig. 2B) of optimized lyophilized EENS showed that particles were discrete with slight agglomeration that could be assigned to the presence of stabilizer at particle water interface. These had a porous surface and found to be slightly elongated and needle shaped. These pores might have been developed due to evaporation of the solvent from the surface of EENS during lyophilization. Thus SEM pictures confirmed that the larger scaly particles of EE were successfully converted to nearly elongated, smaller sized nanoparticles with a smoother surface on size reduction. The particle size of EENS increased after lyophilization but were still smaller than that of EE^[33].

The stability and dissolution behaviour of compounds is influenced by crystalline state. During the HPH

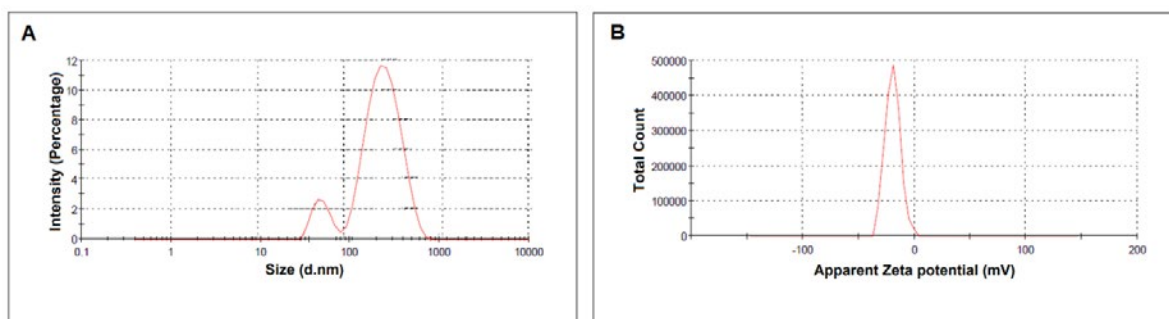


Fig. 1: Average particle size and zeta potential
Graphs showing (A) average particle size and (B) zeta potential

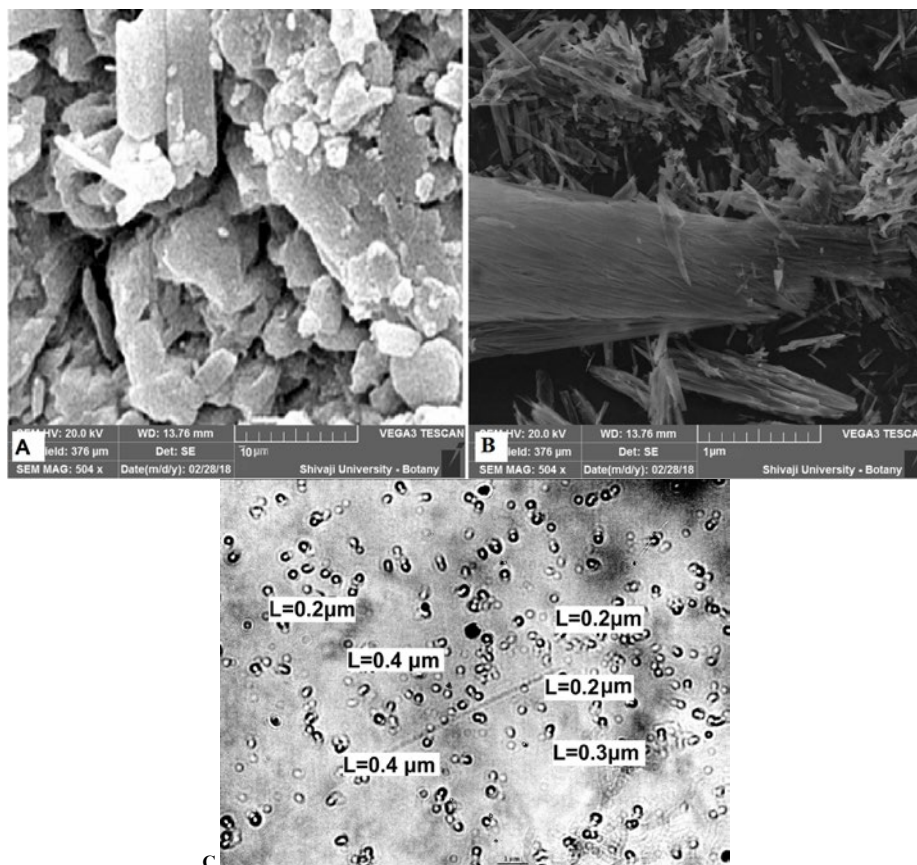


Fig. 2: Photomicrographs of SEM and inverted phase microscopic images of EE and EENS

Scanning electron microscope (SEM) photomicrographs of A. pure EE, B optimised ethinylestradiol nanosuspension (EENS) and C. inverted phase microscopic images of EENS

process, a high-energy input was generated due to high power density in the piston-gap of the homogenizer. This led to an increase in the amorphous portion or complete amorphization of EE. The extent of development of amorphous nature depended on the applied power density as well as the physical hardness and chemical nature of a drug. Thermal analysis of EE, PM, optimized EENS and mannitol was performed to study the differences among these and the results were presented as fig. 3A. Coarse EE powder showed a sharp distinct endothermic peak at 184.41° , which corresponded to the intrinsic melting point of EE, while DSC of PM showed two distinct melting endothermic peaks at 166.85 and 178.63° , whereas EENS powder recorded sharp melting endotherm at 168.83° . Mannitol showed a sharp endothermic peak at 168.74° indicating its high crystallinity. The characteristic peak of EE disappeared in the thermogram of optimized EENS, while the peak in the thermogram of PM was with decreased intensity. These changes were as predicted due to the diluting effect of excipients on EE, especially at higher concentrations of mannitol that had covered the melting peak of EE. Thus, it can be concluded that the crystallinity of EE decreased because of its

encapsulation by stabilizers. Data obtained from DSC failed to explain factual changes that occurred in drug crystals during processing^[39].

The XRPD patterns of powder EE, PM and optimized EENS are presented in fig. 3B. Five sharp characteristic diffraction peaks exhibited by EE at 13.54 , 15.36 , 16.5 , 18 and $19.42^{\circ}2\theta$ and several short peaks between 10.66 and $32.36^{\circ}2\theta$ indicated highly crystalline nature. PM demonstrated two reflections at 20.52 and $21.66^{\circ}2\theta$ with lower intensity compared to EE and EENS. It showed additional peaks similar to that of parent crystalline EE. The diffractogram of EENS showed 5 diffraction lines but with lower intensity as compared to EE at 13.52 , 17.74 , 19.86 , 20.14 and $21.22^{\circ}2\theta$ with additional peaks of mannitol. In the XRPD spectrum of EENS, characteristic peaks were of lower intensity than EE without any qualitative variations and this could be due to the diluting effect of addition of mannitol. This pattern revealed that the crystalline state of EE and optimized EENS were the same, indicating the HPH process and the lyophilization process did not induce any crystal polymorphic transition in EE. In fact, amorphous forms are physically unstable compared to crystalline forms. Thus, during the entire

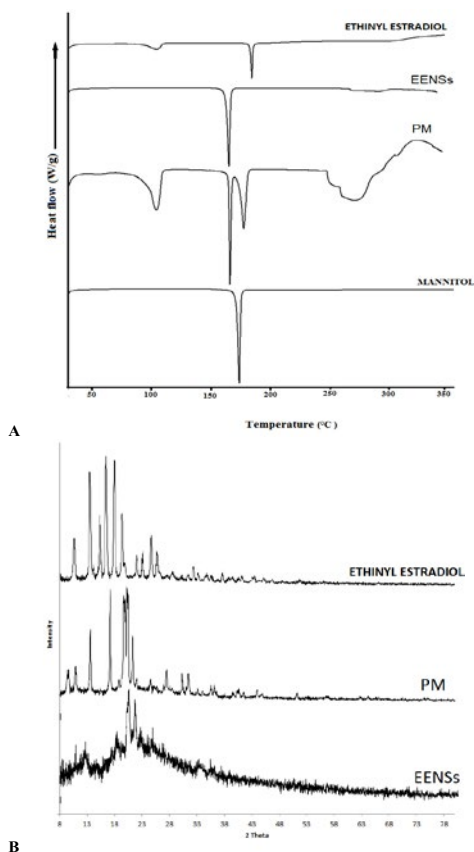


Fig. 3: (A) DSC thermogram and (B) XRPD spectra

storage period, the optimized EENS was assumed to be physicochemically stable. However, for long-term stability, maintenance of the original crystal structure is beneficial due to its lower state of energy. Moreover, the same induced properties and results were confirmed by previously reported studies^[36].

The dissolution behaviour of EE coarse powder and lyophilized EENS in SGF pH 1.2 is shown in fig. 4. The dissolution rates of EE and EENS in SGF were 26.199 and 95.101 %, respectively. EE release from EENS followed the Higuchi matrix model ($R^2=0.9982$). Hence EE particles might have dispersed in a uniform matrix and the drug released by diffusion process based on Fick's law. The EENS showed a dramatic increase in dissolution rate as compared to EE coarse powder. Moreover, EENS displayed more than 60 % increase in dissolution rate compared to EE coarse powder (15 %) within 60 min indicating a distinctly superior dissolution profile of EENS relative to EE. This enhancement in dissolution of EE could be attributed to the reduction in particle size, especially in nanometre range with an effective increase in surface area that increased dissolution. This phenomenon is better explained by Noyes-Whitney Eqn. 3, $dC/dt = DS - (C_s - C_t)/h$, where h denotes thickness of the dissolution boundary layer, D is the diffusion coefficient of the solute, C_s is saturation

solubility, S represents the surface area, dC/dt represents the dissolution rate and C_t is the bulk concentration. As the particle size of EENS was smaller than that of EE, these possessed much larger surface area and hence a faster dissolution rate.

Besides particle size, particle shape also affects the dissolution of drugs^[36]. Particles that are irregular, flaky and long exhibit a higher hydrodynamic thickness at the boundary layer. Thus, the value of h would be increased with a decrease in dissolution rate. The SEM image of EE showed rod-shaped particles in different sizes. Thus it can be concluded that enhancement in dissolution rate was due to particle size reduction than that of shape alteration.

In order to study the effect of EE on cell proliferation, MCF-7 breast cancer cells were cultured with EENS and EEAQD. The cell inhibition ratio was evaluated using the MTT assay. The inhibition of MCF-7 cell growth by EENS was observed to be dose and time-dependent. However, significant inhibition of MCF-7 cell proliferation was observed when treated with EENS at 62.5 $\mu\text{g/ml}$ concentration. The EENS-treated cells showed a significant increase in growth inhibition rate compared to EEAQD-treated cells, fig. 5. These findings appear to be due to the ability of EENS to infiltrate into cells either by endocytosis or phagocytosis or by increasing contact time and area between EE and the cells. The smaller size EENS initiated its adhesion to MCF-7 cells. The increased solubility and dissolution rates in EENS helped to attain sufficient concentration of EE around the cells. Thus, the EENS was found to be more cytotoxic to MCF-7 cells than free EE. The IC_{50} of EENS and EEAQD were 37.43 and 130.2 $\mu\text{g/ml}$, respectively. The IC_{50} of EENS was lower than EEAQD at 48 h incubation time. Engblom *et al.*^[40,41] and

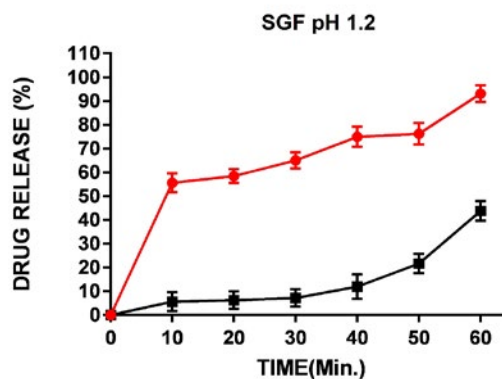


Fig. 4: Dissolution profiles of EENS and EE in simulated gastric fluid

Dissolution profiles of optimized ethinylestradiol nanosuspension (EENS) and the pure ethinylestradiol (EE) in simulated gastric fluid. (—●—) EENS, (—■—) EE

Wong *et al.*^[42] reported that excipients used in EENS do not exert any significant effect on the growth of MCF-7 cells. Thus, cytotoxicity study provided additional information that EE exerted anticancer activity, although EE was mostly used as an oral contraceptive agent.

EENS-induced morphological changes in MCF-7 cells are shown in fig. 6. The morphological changes noticeable in cells included membrane blabbing, nuclear fragmentation and shrinkage of cells after exposure to EENS and EEAQD for 48 h. More obvious changes were observed in EENS-treated cells which were absent in untreated control cells^[42].

Apoptosis study using double staining method revealed the ability of EE to induce apoptosis. A green color nucleus is seen, fig. 7A, which is an indication of

living cells in the control group, which were untreated. The decreasing ratio of living cells with increasing concentration of EEAQD and EENS could be observed in fig. 7B and C. At 12.5 µg/ml concentration, both EEAQD- and EENS-treated cells were uniformly orange-stained with fluorescent nuclei but to a lesser extent in EEAQD-treated cells compared to EENS-treated cells, which indicated necrosis of cells followed by death. The morphological changes that occurred in apoptotic cells exposed to EENS included shrinkage of cells, followed by fragmentation and shape alteration. Such morphological changes were observed to a greater extent in cells treated with EENS as compared to those treated with EEAQD. Thus, the reduction of viable MCF-7 cells indicated an increase in the ratio of apoptotic cells. The apoptosis observed could be due to EE-induced physiological changes that included increased gene expression^[35].

In order to investigate the oral bioavailability enhancement effect of EE, *in vivo* PK studies of EENS and EEAQD were carried out in rats and results obtained were compared with each other. The mean EE concentration-time profile in the rat plasma is shown in fig. 8A, after oral administration of a single dose of 0.5 mg/kg of EE from EENSs and EEAQD and their PK parameters obtained are listed in Table 1.

Following the oral administration of EENS and EEAQD, the plasma concentration of EE from EENS in rats reached a significantly higher level than that of EEAQD at every time interval. The EENS exhibited higher C_{max} , which indicated greater EE absorption. The C_{max} of EENS was increased by 2 fold. The lower C_{max} of EEAQD might be due to rapid distribution and metabolism of EE. The coating of soya lecithin helped

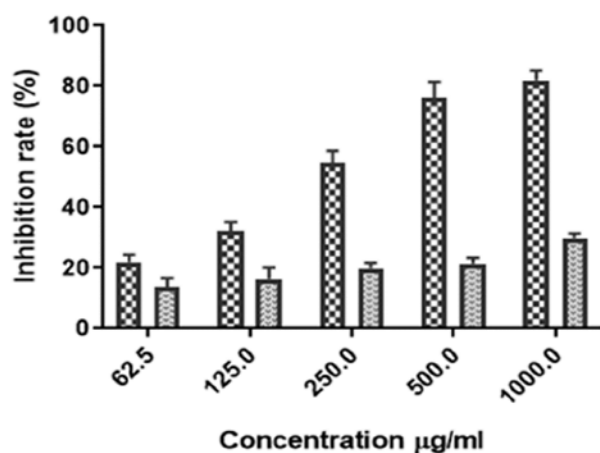


Fig. 5: Cytotoxicity of EENS and EEAQD

Cytotoxicity of ethinylestradiol nanosuspension (EENS) and ethinylestradiol aqueous dispersion (EEAQD) after 48 h of incubation (▨) EENS, (■) EEAQD; n=3, mean±standard deviation

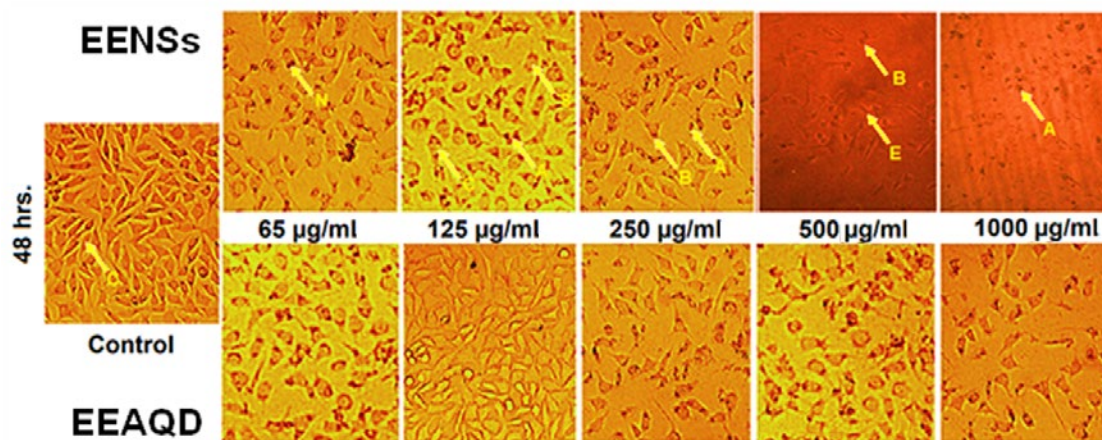


Fig. 6: Photomicrographs of morphological and growth inhibitory changes of MCF-7 cells induced by EENS and EEAQD

Photomicrographs showing morphological and growth inhibitory changes of MCF-7 cells following exposure to EENSs for 48 h (light microscopy; ×200). *Alphabets indicate, A, apoptotic bodies, B, membrane blebbing, C, cell shrinkage, N, condensed nuclei and S&E, echinoid spikes

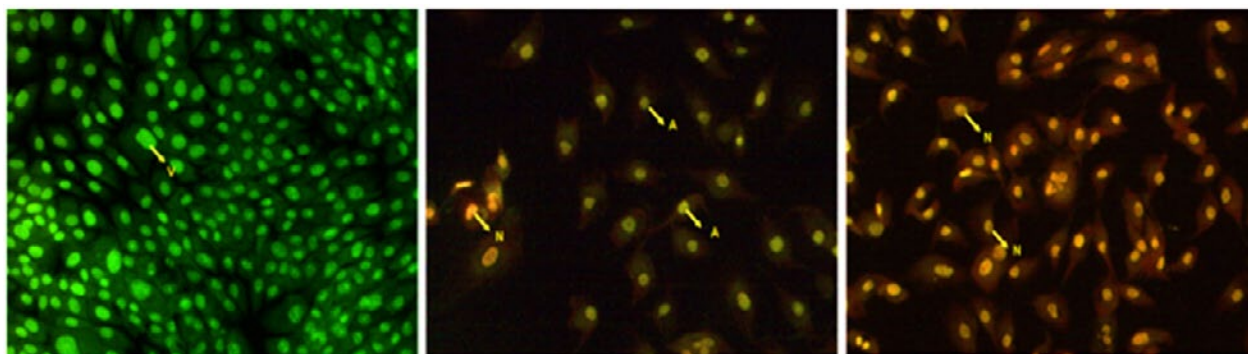
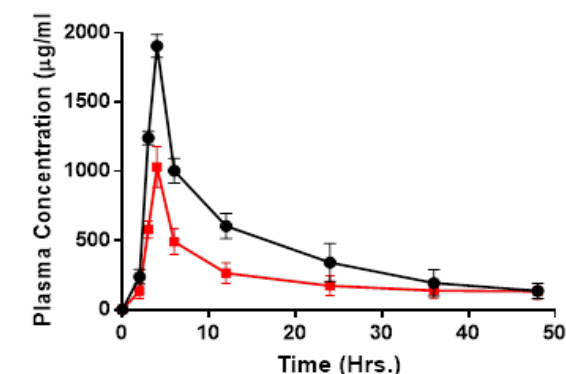
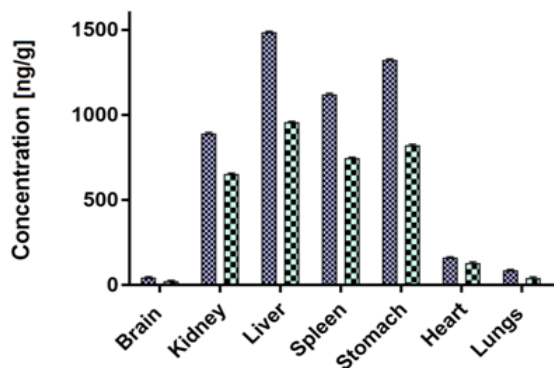


Fig. 7: Effect of EENS on MCF-7 cells

Apoptotic effect of ethinylestradiol nanosuspension (EENS) on MCF-7 cells. A) Untreated cell lines that are intact and with green nucleus B) Cells treated with 25 µg/ml of EEQAD C) Cells treated with 25 µg/ml of EENSs. N- necrotic cells, A- apoptotic cells and V- viable cells



A



B

Fig. 8: Plasma concentration-time curve and biodistribution of EENS and EEQAD

Plasma concentration-time profiles and biodistribution of EENS and EEQAD after oral administration in rats (A) (—●—) EENSs, (—■—) EEQAD; (B) (▨) EENS, (▩) EEQAD. Each value represents the mean±SD (n=3)

EE to circulate in the body for a prolonged period, thus T_{max} of EENS is higher than EEQAD. The relative bioavailability of EENS was found to be 1.7 as compared to EEQAD. The AUC is a vital PK parameter that explains the circulation and exposure time of the drug in bloodstreams^[43]. The AUC_{0-t} of EENS and EEQAD were 20777.18 ng/ml×h and 12290.12 ng/ml×h, respectively. These increase in AUC indicated that oral absorption of EE in rats was enhanced notably in the

TABLE 1: PHARMACOKINETIC PARAMETERS OF EEQAD AND LYOPHILIZED EENS IN SPRAGUE DAWLEY RATS

Pharmacokinetic parameters	EEQAD	Lyophilized EENS
C_{max} (ng/ml)	946.34±1.23	1837.30±1.65**
T_{max} (h)	4.00±1.45	6.00±1.47**
$t_{1/2}$ (h)	20.75±1.36	14.10±1.33**
MRT (h)	29.95±2.01	20.35±2.01**
AUC_{0-t} (ng/ml×h)	12290.12±4.25	20777.17±3.14**
$AUC_{0-∞}$ (ng/ml×h)	15187.72±4.56	22950.52±3.45**
VD (ml)	0.01±2.42	0.001±2.33**
Cl (ml h ⁻¹)	1.97 X10 ⁻⁵ ±2.55	6.02 X 10 ⁻⁵ ±2.65**
KE (h ⁻¹)	0.03±2.45	0.05±2.45**
F_{rel}	-	1.70±1.05

**P<0.01, compared to the corresponding parameters of EEQAD. Results were expressed as the mean±SD (n=3)

lyophilized NS form. Thus oral bioavailability of EE was improved by decreasing particle size, enhanced membrane permeation and increased dissolution rate. As a stabilizer moreover, soya lecithin has a thick coat on the surface of EENS which played a vital role in bioavailability enhancement. It is an amphiphilic surfactant, that enhanced EE penetration ability and thus promoted rapid permeation of the EE nanoparticles through the intestinal epithelium and finally into the systemic circulation^[33].

After oral administration, the biodistribution study of EENS and EEQAD showed higher levels of EE in spleen, liver, stomach and kidney. Fig. 8B revealed the maximum concentration of EE in liver, spleen, stomach, and kidney were 1485.71±5.56, 1122.43±4.88, 1323.63±5.62 and 891.16±5.33 ng/g, respectively for EENS 48 h after oral administration. However, the EE concentrations from EEQAD in spleen, liver, stomach, and kidney were 745.62±3.78, 956.89± 4.56, 821.23±5.02 and 652.35±3.78 ng/g, respectively. These

lower levels could be attributed to faster elimination of EE due to higher particle size as compared to EENS. The higher uptake of EE in spleen, liver, and stomach is may be due to enhanced lymphatic uptake. The concentration of EE from EENS in the brain was 43.56 ± 4.05 ng/g and from EEAQD was 22.46 ± 4.35 ng/g, respectively. The concentration of EE in lungs and heart were 86.82 ± 3.02 and 160.48 ± 3.56 ng/g for EENS, while for EEAQD it was 42.65 ± 4.33 and 130.56 ± 3.98 ng/g, respectively. Thus, biodistribution studies indicated that the accumulation of EE from EENS in the spleen, liver, stomach, and kidneys in a larger amount as compared to the brain, lungs, and heart^[44].

The six-month stability data for EENS and liquid EENS is presented in Table 2. NS stored at RT showed an increased particle size from 220 to 316 nm over 6 mo. Storage under refrigerated condition showed a nominal increase from 220 to 275 nm indicating better stability. NS stored at $40 \pm 2^\circ/75 \pm 5\%$ RH showed an increased particle size from 220 to 315 nm. These observations indicated that temperature influenced aggregation of EE nanoparticles and hence it was higher at RT and above compared to refrigerator temperatures. Significant aggregation was more likely to occur in liquid EENS compared to lyophilized EENS at all storage conditions. Refrigerated conditions do not have a significant effect on average particle size, whereas at RT and $40 \pm 2^\circ$ there was a significant effect. Thus it can be concluded that at higher temperatures particle aggregation takes place, which might lead to the increased size of particles and thus makes them less stable. Probably Ostwald ripening could be the second reason resulting from fluctuations at RT. The results obtained from the chemical stability of formulation upon storage at different conditions are also presented in Table 2. Results suggested no significant change in EE content of liquid EENS and lyophilized EENS when stored at different storage conditions indicating

that both the liquid and lyophilized NS are chemically stable at these storage conditions. Noteworthy, for physical stability of liquid EENS, lyophilization and storage at refrigerated conditions are recommended^[45].

The principal objective of this study was to develop lyophilized NS of EE to enhance its bioavailability, *in vitro* apoptosis and cytotoxicity against breast cancer MCF-7 cells using a top-down technique of HPH. Optimized NS was highly stable with improved dissolution rates, *in vitro* cytotoxicity, and bioavailability. The particle size distribution pattern of EENS showed an average particle size of 220 ± 0.37 nm with a zeta potential of -19.3 ± 6.73 mV. The surface morphological features of the lyophilized formulation presented porous slightly elongated needle-shaped particles whereas in liquid EENS particles were spherical with a layer of stabilizer coat. The crystalline form of EE was preserved in NS form and dissolution and release rate of EE from EENS was increased significantly, due to reduced particle size and increased surface area. *In vitro* cytotoxicity study of NS indicated a significant inhibitory effect of EE against MCF-7 cells at a very low dose. Apoptosis studies indicated that EENS-treated cells at a concentration of $12.5 \mu\text{g/ml}$ showed necrosis of cells followed by the death of cells inducing apoptosis. The plasma C_{max} , T_{max} , and $\text{AUC}_{\text{total}}$ of EENS in rats were significantly higher than those in EEAQD. The relative bioavailability of EENSs was enhanced by more than 2 fold. Biodistribution study suggested that a higher concentration of EE was found in the liver, spleen and kidney. The lyophilized NS was found to be stable when stored at refrigeration condition. Thus, EENS could be a promising concept in clinical application for cancer as well as contraceptive agents with enhanced bioavailability and stability. Thus, overall it can be concluded that optimized lyophilized NS of EE has enhanced the inhibitory effect on MCF-7 breast cancer cells with improved bioavailability and stability. These characteristics indicate the potential

TABLE 2: STABILITY DATA OF LYOPHILIZED EENSs AND EENSs FOR SIX-MONTH STABILITY STUDY

Formulation	Storage temperature	Initial particle size	Particle size after			Initial drug content	Drug content after		
			2 mo	4 mo	6 mo		2 mo	4 mo	6 mo
Lyophilized EENS	4°	220.4±10.3	230.5±6.8	255.8±8.2	275.9±4.6	92.23±0.45	91.56±0.89	91.02±0.81	90.59±0.87
	RT		256.5±9.1	293.5±4.6	316.2±4.7		90.56±0.56	89.02±0.62	88.63±0.74
	40±2 °		260.4±8.1	286.9±5.6	315.4±5.6		90.88±0.96	89.45±0.89	88.03±0.97
Liquid EENS	4°	209.4±10.3	212.5±9.1	225.8±4.6	238.9±7.5	96.48±0.66	95.20±0.58	94.65±0.65	93.33±0.66
	RT		268.9±7.7	308.9±7.1	334.8±8.8		93.25±0.47	91.41±0.48	89.63±0.41
	40±2 °		270.4±8.1	299.2±5.5	322.9±5.6		93.33±0.33	92.00±0.54	90.23±0.38

clinical application of NS of EE to treat breast cancer in addition to the already approved use as an oral contraceptive agent.

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