

# Utility of Sulfobutyl Ether $\beta$ -Cyclodextrin Inclusion Complexes in Drug Delivery: A Review

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Das *et al.*: Review on sulfobutyl ether  $\beta$ -cyclodextrin complexes

The potential of  $\beta$ -cyclodextrins as a pharmaceutical aid has been established through several decades of research. However, some  $\beta$ -cyclodextrins are reported to be toxic causing deleterious effects on long term usage. In the recent past, sulfobutyl ether  $\beta$ -cyclodextrin, a modified  $\beta$ -cyclodextrin has captured interest as a comparatively safer and effective  $\beta$ -cyclodextrin for increasing the solubility and the bioavailability of biopharmaceutical classification system class II drugs. Sulfobutyl ether  $\beta$ -cyclodextrin has demonstrated immense potential in addressing solubility and stability issues associated with formulation of drugs. Till date no comprehensive report on their utility in drug development has been published. Hence, this review focusses on the structure, properties, preparation techniques and applications of sulfobutyl ether  $\beta$ -cyclodextrin inclusion complexes. Investigation of the feasibility of forming stable inclusion complexes of sulfobutyl ether  $\beta$ -cyclodextrin and various drugs as guest molecules using molecular modelling techniques have been elaborated in the review. Incorporation of sulfobutyl ether  $\beta$ -cyclodextrin complexes into novel drug delivery systems (liposomes and nanoformulations) have been summarized along with various methods of preparation and the clinical safety. Molecular modelling approach discussed in this review, coupled with wet-lab validation of the complexes, will enable a faster transit of the delivery systems into the clinical setting.

**Key words:** Sulfobutyl ether  $\beta$ -cyclodextrin, inclusion complexes, drug delivery, modelling, solubility, stability

Cyclodextrins (CDs) belong to the family of cyclic oligosaccharides. It consists of 6 to 8 glucose units linked by 1,4-glucosidic bonds. The structure of the CD presents an internal hydrophobic cavity, which facilitates the inclusion of the various guest molecules within them<sup>[1]</sup>. On the basis of structure they are basically of three types namely  $\alpha$ ,  $\beta$  and  $\gamma$ -CDs comprising of 6, 7 and 8 glucopyranose units, respectively. Till date parent CD and their various derivatives have found a wide range of applications in food, pharma and chemical industry. They are of great utility in the field of drug delivery and play a great role in formulation development due to their influence on solubility, dissolution rate, chemical stability, and absorption properties of drug candidates. The continued interest in CD as functional excipients can be attributed to their ring structure and ability to entrap guest molecule within their internal cavity.

Sulfobutyl ether  $\beta$ -CDs (SBE- $\beta$ -CD) are classified under the class of modified  $\beta$ -CDs. These modified CDs confer added benefits to the formulation and thus are of interest than the parent CDs to a pharmaceutical

scientist. The parent CDs have been reported to have drawbacks such as, low water solubility, tendency to induce haemolysis and *in vivo* cytotoxicity as they bind to mucous membrane and extract the cholesterol, which disrupts the bi-lipid structure of the cell membrane ultimately leading to haemolysis<sup>[2,3]</sup>. Even though these are widely explored, the parent CDs have limited options for flexibility on routes of administration other than non-parenteral routes due to reports on causing renal damage upon parenteral administration. This nephrotoxicity is caused due to increased lysosomal activity and necrosis of the cells<sup>[1]</sup>.  $\beta$ -CD has a limited aqueous solubility and hence the precipitation of drugs is seen on standing for a long time<sup>[4]</sup>. Also they have several shortcomings related to size, shape and stability<sup>[3]</sup>.

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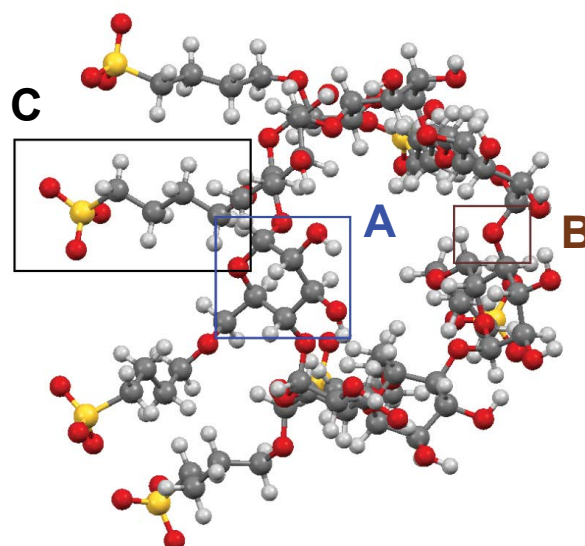
The aforementioned limitations of natural CD have been overcome by attaching a sulfobutyl group to the parent molecule forming SBE- $\beta$ -CD. This derivative of CD has several advantages over parent CD that include increased water solubility, improved binding capacity of the drugs for complexation, and low toxicity profile<sup>[3,5]</sup>. The SBE- $\beta$ -CD exhibit significantly higher hemocompatibility when compared to the parent  $\beta$ -CD. When the hydroxyl groups of glucopyranose units are substituted with anionic groups such as sulfate and sulfobutyl-ether the CD showed negligible haemolytic activity due to its lower ability to derange and solubilize membrane lipids<sup>[6]</sup>. *In vivo* studies have shown that SBE- $\beta$ -CDs were pharmacologically inactive and well tolerated at high doses. In a study it was found that SBE- $\beta$ -CDs administered intravenously were filtered renally and eliminated intact in urine as inulin clearly suggesting that these have little or no nephrotoxicity<sup>[4,6]</sup>. The SBE  $\beta$ -CDs have also shown antiangiogenic property when co-administered with angiostatic steroids<sup>[6]</sup>.

### Structure:

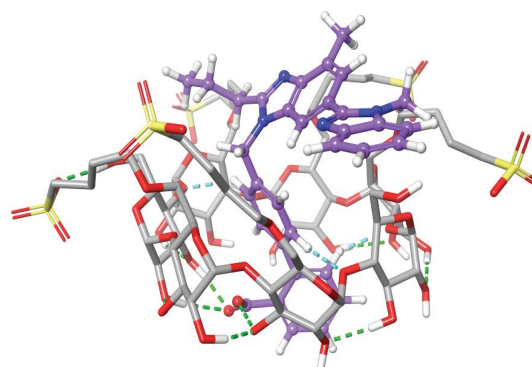
SBE- $\beta$ -CDs are homogeneous, non-hygroscopic and crystalline substances that belong to the class of cyclic oligosaccharides. SBE- $\beta$ -CD or SBE<sub>7</sub>- $\beta$ -CD has seven  $\alpha$ -D glucopyranose units attached in a manner, which forms a torus ring-like structure<sup>[1]</sup>. SBE- $\beta$ -CDs are prepared by substituting the primary or secondary hydrogen of the hydroxyl groups of  $\beta$ -CD with sulfobutyl groups. In SBE- $\beta$ -CD, the average degree of substitution (DS) is 6.8 and hence it has about 7 negative charges associated with it. These negative charges are counterbalanced by adding sodium ions<sup>[7]</sup> as shown in the fig. 1.

### Molecular modelling:

With the advancement in the molecular modelling and computational techniques, the interaction of the SBE- $\beta$ -CD and the guest molecules can be easily visualised and explained. The software's such as GOLD<sup>®</sup> provided under the Cambridge Structural Database suites and Maestro<sup>®</sup> provided by the Schrodinger Inc., are widely used for these purposes. The software is able to explain the binding affinity and the most stable conformation based on certain scoring mechanisms and the determination of possible interactions and formation of bonds between the various functional groups of the SBE- $\beta$ -CD and the complexed molecules<sup>[8]</sup>. The ring-like structure of SBE- $\beta$ -CD, when viewed in 3D, (fig. 2) is basically barrel-shaped or more precisely



**Fig. 1: 3D-molecular Structure of SBE- $\beta$ -CD**  
(A) Glucopyranose unit, (B) ether linkages, and (C) sulfobutyl groups modelled using the Mercury<sup>®</sup> module of the Cambridge suites. Oxygen atoms are colored red, sulphur atoms are yellow and hydrogen atoms are white



**Fig. 2: Inclusion complex of SBE- $\beta$ -CD and telmisartan**  
Inclusion complex was modelled using the Maestro<sup>®</sup> module of the Schrodinger suites<sup>[8]</sup>. The drug can be clearly seen to be fitted well within the hydrophobic cavity of SBE- $\beta$ -CD with numerous hydrogen bonds between the host and the guest molecule

looks like a conical cylinder with openings at two ends with two different diameters. Therefore, if all the primary hydroxyl groups are present at the top portion, then all the secondary hydroxyl groups are located at the bottom portion<sup>[1]</sup>. In these molecules, the inner circumference or the cavity is lined by hydrogen atoms or glycosidic oxygen bridges and hence has low polarity that favours the attachment of lipophilic molecules while the hydroxyl groups present on the outer surface makes them hydrophilic and increases solubility. To customise the chemical properties of the molecule, the hydroxyl groups can be substituted using other functional groups such as sulfobutyl ether or hydroxyl propyl<sup>[5]</sup>.

SBE- $\beta$ -CD is a negatively charged molecule due to the sulfobutyl group present in it. The charged sulfonate groups of sulfobutyl ether moieties have two parts, one being a hydrophobic tail that is attached to the cyclodextrin cavity, which accounts for the hydrophobicity of the interior cavity and the other the charged head group. Therefore, introducing a charged group in the cavity reduces its complexing ability. Interactions of SBE- $\beta$ -CD with various anionic, cationic and neutral molecules were studied by Zia *et al.*<sup>[7]</sup>, who reported that neutral molecules have greater binding capacity as compared to anionic and cationic molecules. Positively charged substrate did not show considerable change in the binding strength as compared to neutral molecules. Whereas the negatively charged substrate decreased the binding capacity 40 times, which was due to ion repulsion effect.

For the formation of the inclusion complex the principle force that comes into play is the hydrophobic effect. The other forces that contribute to the stability of complex are dispersive interaction and hydrogen bonding by hydroxyl groups of SBE- $\beta$ -CD. The non-polar molecule that has suitable size occupies the inner cavity of the SBE- $\beta$ -CD, which was initially occupied by water molecules with high energy. As the drug molecule occupies this cavity, water molecules move into the bulk solution, forming a stable drug and carrier complex. The SBE- $\beta$ -CD molecules act as a protective shell. Once this stable complex is administered, it reaches the blood stream and then dissociates to release the original drug, which diffuses to the site of action and the SBE- $\beta$ -CD shell is eliminated<sup>[9]</sup>.

## PHYSICOCHEMICAL PROPERTIES AND DS

The physicochemical properties of SBE- $\beta$ -CD are represented in Table 1. DS is the average number of substituents that have reacted with one CD molecule. In other words, DS represented the number of hydroxyl groups that have been substituted in each molecule. The physicochemical properties as well as complexation capacity of SBE- $\beta$ -CD is influenced by the DS. Though many derivatives of SBE- $\beta$ -CD with various DS have been reported, the SBE<sub>7</sub>- $\beta$ -CD, SBE<sub>4</sub>- $\beta$ -CD and SBE<sub>1</sub>- $\beta$ -CD (with DS 7, 4 and 1, respectively) are extensively used in pharmaceutical formulations.

Thompson *et al.*<sup>[10]</sup> carried out a comparative study of the haemolytic activity of all the derivatives of SBE- $\beta$ -CD at concentrations typically used to solubilize

**TABLE 1: PHYSICOCHEMICAL PARAMETERS OF SBE- $\beta$ -CD**

Parameter	Value
Crystal structure	Amorphous
Colour	White
Solubility in water	More than 1200 mg/ml
Acceptable degree of substitution according to pharmacopoeia	6.2-6.9
Charge	Polyanionic (Na salt) Native SBE- $\beta$ -CDs are not suitable due to salty taste.
Suitability for taste masking	The sodium salt of SBE- $\beta$ -CDs (Captisol®) is tasteless and can be used in oral formulations for taste masking

the pharmaceutical formulations. It was found that haemolytic activity was in the order, SBE<sub>7</sub>- $\beta$ -CDs < SBE<sub>4</sub>- $\beta$ -CDs << SBE<sub>1</sub>- $\beta$ -CDs <<  $\beta$ -CDs. These results showed that SBE<sub>7</sub>- $\beta$ -CDs had the least haemolytic activity and are the safest for use as a pharmaceutical excipient<sup>[11]</sup>. In a comparative study done by Zia *et al.* to study the effect of DS and alkyl chain length on complexation using various steroids (progesterone, testosterone, digoxin and phenytoin), it was found that progesterone showed minimum influence whereas digoxin and phenytoin showed a descending correlation (when DS versus binding constant K was plotted) the one with lowest DS had the strongest binding. Testosterone on the other hand showed an ascending correlation<sup>[4]</sup>. Zia *et al.*<sup>[11]</sup> correlated the DS of SBE- $\beta$ -CD and the binding constants of molecules and concluded that there was no uniform trend observed, but when enthalpy and entropy were considered a distinct pattern was observed. It was reported that as the number of SBE groups were increased the complexation of the substrates to SBE- $\beta$ -CD were more entropy favoured. de Boer *et al.*<sup>[12]</sup> summarised the effect of DS on the applicability of different derivatives of CD in capillary electrophoresis for chiral separations in pharmaceutical analysis. He emphasized that the use of commercially available CDs having a defined DS may lead to a better modelling, optimization, reproducibility, and to a more rugged separation system<sup>[12,13]</sup>.

## EFFECTS OF SBE- $\beta$ -CD ON IMPORTANT DRUG PROPERTIES

### Solubility and dissolution:

SBE- $\beta$ -CD has been reported as one of the best solubility enhancers. It has been extensively used for formulation of drugs with poor water solubility (Table 2). It formed

dynamic, non-covalent, water soluble inclusion complexes. SBE- $\beta$ -CD acted as a hydrophilic carrier for drugs having inadequate molecular characteristics for complexation and hence improving aqueous solubility of the drugs and dissolution rate<sup>[14]</sup>. Also another suggested mechanism for improving dissolution rate and solubility is that upon complexation with SBE- $\beta$ -CD reduction of crystallinity or amorphization took place<sup>[15-20]</sup>. The factors that affect the solubility also affect rate of dissolution. Dissolution rate is directly proportional to solubility. It is so because higher the solubility of drug, higher will be the concentration of drug in the dissolution medium and hence a high dissolution rate<sup>[21-35]</sup>. Solubilizing effect of SBE- $\beta$ -CD on 22 poorly water drugs were compared to  $\beta$ -CD and DM-CD [heptakis-(2,6-di-O-methyl)- $\beta$ -CD]. SBE- $\beta$ -CD was found to be more effective than  $\beta$ -CD but less than DMCD<sup>[14]</sup>. SBE- $\beta$ -CD was also reported to be a promising solubilising agent for essential oils and their components<sup>[36]</sup>.

### Permeability:

SBE- $\beta$ -CD has also been used as a membrane permeability enhancer. Drugs that have poor water solubility cannot permeate through biological membranes. SBE- $\beta$ -CD formed inclusion complex and this acted as a carrier for the drug. The complex carries the drug through the aqueous barrier in the

biological membranes<sup>[14]</sup>. When SBE- $\beta$ -CD is given as an oral formulation, upon reaching the gastrointestinal mucosa, dissociation of the complex takes place and the free drug is released. This free CD has limited absorption in the gastrointestinal tract; it interacts with the gastrointestinal mucosa and reversibly increases the permeability<sup>[18]</sup>. Inclusion complex of sevoflurane has shown significantly higher blood brain barrier permeability<sup>[37]</sup>. Inclusion complexes of erlotinib and zaleplon have also shown increased permeability due to increase in aqueous solubility<sup>[18,23]</sup>.

### Bioavailability:

SBE- $\beta$ -CD enhanced the bioavailability of insoluble or sparingly soluble drugs by improving its solubility, dissolution as well as permeability. Drugs which are hydrophobic in nature show poor bioavailability, SBE- $\beta$ -CD forms inclusion complex, enhances the hydrophilicity and makes the drug more permeable across the biological membranes; hence these drugs are available to a greater extent in the body. Solid inclusion complex of phenytoin showed a 1.6 fold increase in peak plasma concentration and 2 fold increase in bioavailability when compared to the plain drug<sup>[25]</sup>. Inhalational anaesthetic sevoflurane has high lipophilicity and poor aqueous solubility, thus cannot be given orally or intravenously to a patient. An inclusion complex of SBE- $\beta$ -CD with sevoflurane was developed and on evaluation there was an improvement in the bioavailability and blood brain barrier permeability<sup>[37]</sup>. Erlotinib and SBE- $\beta$ -CD complex showed a 3.6 fold increase in oral bioavailability leading to reduced dose and dose related side effects<sup>[23]</sup>. Inclusion complexes of olmesartan medoxomil and lacidipine with SBE- $\beta$ -CD showed a significantly high bioavailability, AUC and  $C_{max}$  than plain drug suspension due to enhanced solubility<sup>[27,28]</sup>. The bioavailability of amiodarone HCL in fasted state was improved by complexation with SBE- $\beta$ -CD. It was reported that the inclusion complex has been able to minimise the food effect on bioavailability<sup>[29]</sup>. SBE- $\beta$ -CD was also used to prepare intramuscular formulation of posaconazole. The bioavailability of posaconazole was markedly improved by complexation with SBE- $\beta$ -CD<sup>[38]</sup>.

### Stability:

SBE- $\beta$ -CDs have been reported to enhance stability of many drugs compared to other CDs<sup>[14]</sup>. Drugs are prone to various kinds of degradation such as hydrolysis, photodecomposition, oxidation and enzymatic degradation. CDs form inclusion complex,

**TABLE 2: SOLUBILITY ENHANCEMENT OF VARIOUS DRUG CLASSES BY SBE- $\beta$ -CD**

Drug	Therapeutic use	Reference
Carbamazepine	Anticonvulsant	15
Curcumin	Antiinflammatory, anticancer	16
Vinpocetine	Antianging, memory enhancer	17
Zalpelon	Sedative, hypnotic	18
Thalidomide	Antileprotic, anticancer	19
Econazole nitrate	Antifungal	20
Honokoil	Anticancer, antiinflammatory	21
Astaxanthine	Antioxidant	22
Erlotinib	Anticancer	23
Pilocarpine	Cholinergic agonist,	
Phenytoin	miotic	24
Nateglinide	Anticonvulsant	25
Olmesartan medoxomil	Antidiabetic	26
Lacidipine	Antihypertensive	27
Spiranolactone	Antihypertensive	28
DY-9760e	Cytoprotective agent	30
Danazole	Steroid	31
Ziprasidone mesylate	Steroid	32
Mephalan	Antipsychotic	33
Carmustine	Anticancer	34
Midazolam	Antianxiety, sedative	34
		35



by providing a cavity where the drug molecule gets entrapped and stay protected from solvents or enzymes that cause degradation. They act as a molecular shield, encapsulating the labile drug at molecular level and hence the degradation is at a slower rate than the free drugs. Thalidomide complex with SBE- $\beta$ -CD showed more stability than the parent drug in alkaline condition<sup>[19]</sup>. SBE- $\beta$ -CD increased the aqueous stability of pilocarpine at pH 7 and also increased the ocular absorption. SBE- $\beta$ -CD was well tolerated and did not affect the mitotic response when administered with the drug<sup>[25]</sup>. SBE- $\beta$ -CD increased the stability constants of nateglinide and honkoi<sup>[21,26]</sup>. SBE- $\beta$ -CD increased the photostability of the DY-9760e<sup>[31]</sup>. SBE- $\beta$ -CD was reported to have shown better stability against hydrolysis as compared to HP- $\beta$ -CD for melphalan and carmustine<sup>[34]</sup>.

### SBE- $\beta$ -CD IN FORMULATIONS ADMINISTERED BY DIFFERENT ROUTES

SBE- $\beta$ -CD is highly biocompatible and can be conveniently incorporated into various types of formulations to overcome limitations of the conventional formulations and can be administered parenterally, ophthalmically, orally, nasally, topically and via inhalation (Table 3). An average of 76 % of all formulations with SBE- $\beta$ -CD are under the parenteral category and the nasal, ophthalmic and oral dosage forms constitute 8 % each totalling upto the rest of the market share.

#### Oral delivery:

SBE- $\beta$ -CD when used in drug delivery systems

administered orally was found to improve the bioavailability of drugs by increasing the solubility and improving the rate and extent of dissolution<sup>[19]</sup>. It showed increased stability of drug when exposed to different pH conditions, and various enzyme systems present in the different areas of the gastrointestinal tract. SBE- $\beta$ -CD has been successfully used for reduction of drug-induced irritation and to modify the time of drug release.

For an inclusion complex of thalidomide prepared with SBE- $\beta$ -CD a considerable increase in aqueous solubility and aqueous alkaline stability was reported<sup>[19]</sup>. Molecular encapsulation of thalidomide when given orally due to its immediate release property showed improved drug absorption and higher distribution due to solubilisation, a significant reduction in tumor formation was observed in the experimental animals. Compared to the free drug, the enhanced efficacy of the thalidomide-SBE- $\beta$ -CD complex suggests that such a delivery system would be very useful for the treatment of cancers<sup>[19,39]</sup>. Rao *et al.* worked on improving the release pattern of a poorly water soluble prednisolone from a HPMC-based matrix tablet. SBE- $\beta$ -CD was used as a solubilising agent. Tablets were prepared by direct compression using a physical mixture of SBE- $\beta$ -CD, prednisolone, and the polymer. It was observed that the drug release rate was dependent on the molar ratio of SBE- $\beta$ -CD and prednisolone and not on the amount of SBE- $\beta$ -CD present in the formulation. It could be concluded that matrix formulations containing SBE- $\beta$ -CD may be used for the complete and controlled delivery of drugs with limited aqueous solubility<sup>[40]</sup>. The sodium salt of SBE- $\beta$ -CD was used

**TABLE 3: EFFECT OF SBE- $\beta$ -CD ON VARIOUS DRUG DELIVERY ROUTES AND SYSTEMS**

Drug	Delivery system	Effect	Reference
Thalidomide	Immediate release	Increased bioavailability	19
Prednisolone	Matrix tablet	Increased solubility	41
Testosterone	Osmotic pump tablet	Faster release from the tablet, increased solubility	42
Prednisolone	Controlled porosity osmotic pump pellets	Improved solubility, increased drug release rate	43
Spirolactone	Paediatric oral solution	Increased bioavailability, better oral absorption	30
Danazol	Buccal tablet	Increased mucoadhesion and bioavailability	45
Econazole nitrate	Ocular delivery	Better drug activity due to sustained activity	20
Pilocarpine	Ocular delivery	Increased ocular bioavailability	40
Propofol	Intra venous	Same PK and PD as of the current marketed product	54
Ondansetron	Nasal delivery	Increased permeation rate	49
Irinotecan	Liposomal formulation	Improved retention	58
Proteins (BSA)	Pulmonary delivery	Sustained activity	51
Vincristine	Liposomes	Improved drug retention	59
Etomidate	Intravenous	Reduced side effects	53
Pilocarpine	Eye drops	Improved tolerability, reduced irritation	24
Thalidomide	Nasal powder	Improved dissolution rate	50
Famotidine	Controlled release tablet	Slow release of drug	44
Chlorpromazine	Osmotic pump tablet	pH dependent release profile	60

as a solubilizing agent and as an osmotic agent in a controlled porosity osmotic pump system for release of a poorly water soluble compound, testosterone. The release was compared with hydroxylpropyl- $\beta$ -CD (HP- $\beta$ -CD) and a sugar mixture. The device with SBE- $\beta$ -CD showed a significant faster rate of release hence it was concluded that SBE- $\beta$ -CD provides novel properties for the development of controlled porosity osmotic pump tablets (OPT) for poorly water soluble drugs<sup>[41]</sup>. Sothivirat *et al.*<sup>[42]</sup> prepared controlled porosity osmotic pump pellets using SBE- $\beta$ -CD as a solubilizer and an osmotic agent in combination with prednisolone, a sparingly water soluble drug. From this study it was concluded that a controlled release pattern could be achieved by modifying the molar ratio of prednisolone and SBE- $\beta$ -CD.

It was observed that with a change in osmotic pressure difference across the cell membrane there is a significant change in drug release rate, which implies that the osmotic pumping may be part of a mechanism of drug release from pellet formation<sup>[42]</sup>. OPT, which has a pH-independent release profile was prepared using chlorpromazine where SBE- $\beta$ -CD was used as a solubilizer and an osmotic agent. The release rate was controlled by modifying the membrane thickness of OPT. An intermolecular complex of chitosan and SBE- $\beta$ -CD was used to formulate slow release tablet of famotidine and was reported that they were potentially useful for controlled release of the drug. It has been reported that chitosan forms nanoparticles with CD, which are anionic in nature and can be used in various controlled drug delivery systems to improve efficiency of the administered drug<sup>[43]</sup>. Oral liquid formulation of spironolactone proposed to be administered to premature infants was prepared and the oral absorption was studied in a prospective study. Absorption was found to be significantly higher in the formulation containing SBE- $\beta$ -CD leading to an increased bioavailability. Although spironolactone showed deacetylation to some extent in presence of SBE- $\beta$ -CD, paediatric formulations containing SBE- $\beta$ -CD as an excipient is considered safe for use<sup>[30]</sup>.

#### Sublingual delivery:

On buccal administration, bioavailability of hepatically metabolised drugs can be substantially improved as the drugs come in contact with the buccal mucosa and permeate through the mucosal tissue thereby reaching systemic circulation. The drug thus does not enter the enterohepatic circulation and avoids the

first pass metabolism. Controlled release formulation of danazole was prepared using SBE- $\beta$ -CD and an increased bioavailability was achieved as a result of enhanced solubility due to formation of a stable inclusion complex<sup>[44]</sup>.

#### Ocular delivery:

For ocular delivery the major challenge is to achieve sustained therapeutic effect. Mahmoud *et al.*<sup>[20]</sup> measured the potential of nanostructures for ocular delivery system. Chitosan nanoparticles were prepared using SBE- $\beta$ -CD as an anionic cross linker. The *in vivo* studies conducted showed that the mucoadhesive nanoparticles had better sustained release property and is a promising carrier for controlled drug delivery system. SBE- $\beta$ -CD was incorporated in the eye drops, which showed increased tolerability of prodrug of pilocarpine, eye irritation was reduced by many folds and no effect on the ocular absorption of the prodrug<sup>[24]</sup>. Saarinen-Savolainen *et al.*<sup>[45]</sup> evaluated cytotoxicity of various ophthalmic drugs, eye drop excipients and CD in human corneal epithelial cell lines and concluded that SBE- $\beta$ -CD is relatively safe on corneal epithelium. Zhang *et al.* developed naringenin-loaded SBE- $\beta$ -CD-chitosan nanoparticles for ocular administration. The results of *in vivo* studies in rabbits showed that naringenin bioavailability was significantly enhanced in aqueous humor in comparison to naringenin suspension<sup>[46]</sup>. An ocular film incorporated with inclusion complex of amlodipine with SBE- $\beta$ -CD was reported to show increased ocular permeation attributed to the presence of SBE- $\beta$ -CD in the film<sup>[47]</sup>.

#### Nasal delivery:

Nasal drug delivery is an attractive technique utilized mainly to attain rapid absorption, which in turn leads to a higher bioavailability for the drug candidates. It is apparently non-invasive and shows activity at lesser doses and a boon for the drugs that are rapidly metabolised once taken orally. Nasal delivery system containing ondansetron hydrochloride and SBE- $\beta$ -CD showed increased permeability<sup>[48]</sup>. Nasal powder formulations of thalidomide were prepared to treat nose bleeding in persons suffering from hereditary haemorrhagic telangiectasia showed an increased absorption rate due to SBE- $\beta$ -CD. On topical application of the powder on the nasal mucosa the drug gets accumulated within the tissue and shows better action<sup>[49]</sup>. Tongiani *et al.*<sup>[3]</sup> formulated midazolam nasal spray. The ring openings and ionization of the ring open forms were enhanced due to solubilisation by SBE- $\beta$ -

CD and also increased the absolute bioavailability of midazolam.

### **Pulmonary delivery:**

The lungs exhibit a few characteristic features for drug delivery such as heavy blood supply, avoidance of hepatic first-pass metabolism, and low enzymatic metabolism, which facilitates the systemic therapy by the inhalable aerosol of proteins. It provides a non-invasive and alternate system for protein delivery. In a work done by Kwon *et al.*<sup>[50]</sup> proteins were loaded in porous microparticle (PM). This PM was prepared by multiemulsion method using SBE- $\beta$ -CD as a porogen. The study concluded that PM having sustained release characteristics may be successfully applied for long-term pulmonary administration of protein or peptide drug. Another application of SBE- $\beta$ -CD for pulmonary delivery was reported by Mohtar *et al.* wherein dry powder for inhalation was prepared with the aid of SBE- $\beta$ -CD-fistin complex<sup>[51]</sup>.

### **Parenteral delivery:**

In a study McIntosh *et al.*<sup>[52]</sup> reported that intravenous administration of aqueous solution of etomidate using SBE- $\beta$ -CD as a solubilizing agent had a reduced side effect profile. It was shown to be possible to be given subcutaneously because of a co-solvent used in the formulation. An intramuscular dosage form of ziprasidone mesylate was developed using SBE- $\beta$ -CD to solubilize the drug by complexation<sup>[33]</sup>. Pharmacokinetics (PK) and pharmacodynamics (PD) of propofol in a lipid based formulation was compared to the formulation containing SBE- $\beta$ -CD complexes. The results confirmed that the PK/PD of these two formulations were substantially similar when given by IV infusion<sup>[53]</sup>.

### **Topical delivery:**

Anraku *et al.* prepared a gel for topical delivery using electrostatic interactions between SBE- $\beta$ -CD and deacetylated chitin nanofibers. SBE- $\beta$ -CD was able to form a stiff, non-fluid elastic gel compared to other CD<sup>[54]</sup>. The incorporation of poorly soluble drug prednisolone in the gel was increased due to solubilizing ability of SBE- $\beta$ -CD<sup>[55]</sup>.

## **NEW DRUG DELIVERY INCORPORATING SBE- $\beta$ -CD COMPLEXES**

### **Microspheres:**

Microspheres are spherical particles in the micrometer

range used in drug delivery. In a study, microspheres of berberine hydrochloride and trimethoprim were prepared by ionic gelation. Initially inclusion complex of trimethoprim with SBE- $\beta$ -CD was prepared to improve solubility, stability and absorption of trimethoprim. Later berberine was included in chitosan solution followed by cross-linking chitosan solution and trimethoprim-SBE- $\beta$ -CD complex to form microspheres<sup>[56]</sup>.

### **Liposomes:**

Liposomes have enhanced permeability and retention effects. They can be used for targeted delivery of the drugs that directly reach the site of action and show improved therapeutic activity. In a study, liposomal formulation of irinotecan was prepared by loading the drug into liposomes using SBE- $\beta$ -CD, which protects the drug against hydrolysis and delivers more active drug to tumour and prolongs the exposure time. It showed that the formulation with more drug to lipid mass ratio showed high loading efficiency and was less toxic than the free irinotecan as found by acute toxicity studies<sup>[57]</sup>.

### **PEGylated liposomes:**

Liposomes are modified with polyethylene glycol (PEG). The hydrophobic portion of PEG is incorporated in the lipid bilayer of liposomes and it provides a stable anchor for the PEG chain. The hydrophilic head remains on the surface of the liposomes and forms a layer, which reduces the interaction between the lipid bilayer and the plasma components and hence the circulation time increases. PEGylated liposomes of vincristine were prepared where SBE- $\beta$ -CD was used as a trapping agent as a result improved retention and reduced toxicity was achieved<sup>[58]</sup>.

### **Nanoparticles:**

SBE- $\beta$ -CD being polyanionic as well as a powerful solubilizer, can form nanoparticles with chitosan by ionic gelation. The size of the nanoparticles and zeta potential was influenced by chitosan/SBE- $\beta$ -CD ratio. Nanoparticles were prepared using SBE- $\beta$ -CD as a polyanionic solubilizing agent and chitosan, which is a biodegradable polysaccharide for an antifungal drug (econazole nitrate) for ocular delivery. Nanoparticle formulation showed increased mucoadhesiveness and permeability. These nanoparticles were prepared using ionic gelation method. The chitosan nanoparticles could deliver the drug in efficient concentration

to the eye hence it was considered an excellent formulation<sup>[20,46,59-61]</sup>. Fernandes *et al.* reported amino acid conjugated chitosan nanoparticles for the brain delivery of saxagliptin. The nanoparticles were prepared by ionic gelation method. SBE- $\beta$ -CD was selected as the cross-linker to aid in the formation of nanoparticles<sup>[62]</sup>. SBE- $\beta$ -CD-based nanoparticles were also prepared by a different procedure based on oligomers of SBE- $\beta$ -CD for moxifloxacin<sup>[63]</sup>.

### Nanofibers:

Nanofibers are fibers with a diameter in the nanometer range. Electrospun nanofibers are being used to achieve various drug delivery applications. Electrospun nanofibers of sulfisoxazole-SBE- $\beta$ -CD were successfully prepared and reported to have enhanced water solubility<sup>[64]</sup>.

## METHODS OF PREPARATION OF INCLUSION COMPLEXES

Various methods have been reported for the formation of SBE- $\beta$ -CD-based inclusion complexes. Conventional methods such as kneading, co-precipitation, milling, spray dry technique, and freeze dry techniques are now replaced with modern methods such as hot melt extrusion (HME), and supercritical fluid techniques (Table 4)<sup>[65-69]</sup>. Supercritical fluid-based method for the production of complexes involves the use of an organic solvent and an antisolvent (carbon dioxide). The drug and SBE- $\beta$ -CD is first dissolved in the organic solvent and then this mixture is introduced into a chamber, which contains antisolvent in its super critical state with help of a nozzle. As soon as the antisolvent comes in contact with the solution, it rapidly diffuses into the organic solvent and in the same way the organic solvent counter diffuses out. As this super critical fluid has lower solvent power than the organic solvent it gets super saturated, which results in precipitation of the solute and the solvent gets carried away with the super critical fluid flow. This method is recommended for heat liable drugs. It is an efficient method for improving the bioavailability of the drugs. It is a fast process with low maintenance cost although it requires a high initial cost. The advantage of using carbon dioxide as antisolvent is that it has low critical temperature and pressure. It is non-toxic, not expensive and it can be easily removed from the materials when the process is complete. Even if a small amount remains trapped inside the polymer it is not harmful for the consumer<sup>[70]</sup>. HME is a processing technology or a specialized drug

**TABLE 4: METHODS OF PREPARATION OF INCLUSION COMPLEXES OF SBE- $\beta$ -CD**

Method	Drugs used	References
Spray drying	Carbamazepine	15
Lyophilization/ freeze drying	Curcumin	16
	Furan	17
	Honokiol	21
	Erlotinib	23
	Phenytoin	25
	Nateglinide	26
	Olmesartan medoxomil	27
	Lacidipine	28
	Vinpocetine	67
	Equol	68
Kneading	2-(2-nitrovinyl) furan (G-0)	66
	Thalidomide	19
	Vinpocetine	67
	Olmesartan medoxomil	27
	Furosemide	69
Coevaporation	Silymarin	70
	Thalidomide	19
	Vinpocetine	67
Solvent evaporation	Silymarin	70
	Silymarin	70
Physical kneading	Olmesartan medoxomil	27
	Thalidomide	19
Hot melt extrusion	Ketoprofen	72

delivery system that does not require any solvent or any complicated processing mechanism for making matrix composites. Complexes are formed by melting thermoplastic polymers. Polymers which have glass transition temperature below the degradation temperature of the drug are used. Polyethylene oxide, hydroxypropyl cellulose and Eudragit has been widely used as thermal binders and retardants. The influence of SBE- $\beta$ -CD (Captisol®) on the dissolution properties of a poorly water-soluble drug (ketoprofen) from extrudates prepared by HME was studied and compared with the complex prepared with other methods like physical mixing, co-grinding, and freeze drying. The dissolution rate of ketoprofen complex prepared by HME was found to be significantly high. SBE- $\beta$ -CD has been reported to be hygroscopic in nature and this leads to particle aggregation and hence leads to slow drug release. But complexes prepared by extrusion method were found to be least affected when it was exposed to elevated humidity<sup>[71]</sup>.

## CLINICAL SAFETY

The drug SBE- $\beta$ -CD complex increases efficacy and potency leading to a reduction of dose required to attain optimum therapeutic activity through increasing drug solubility. Hence, by making the drug effective



**TABLE 5: VARIOUS MARKETED PRODUCTS BASED ON SBE- $\beta$ -CD INCLUSION COMPLEXES**

Marketed products	Brand	Drugs	Therapeutic use	Dosage form
Noxafil	Merck	Posaconazole	Antifungal	Intravenous infusion
Kyprolis	Amgen	Carfilzomib	Multiple myeloma	Injection
Nexterone	Baxter	Amiodarone Hcl	Arrhythmia	Injection
Cerenia	Pfizer	Maropitant citrate	For vomiting	Tablet
VFend	Pfizer	Voriconazole	Antifungal	Intravenous injection
Geodon,Zeldox	Pfizer	Ziprasidone maleate	Atypical antipsychotic, schizophrenia	Intramuscular injection
Abilify	Bristol-Mayers Squibb	Aripiprazole citrate	Antipsychotic, schizophrenia	Tablet
Evomela	Spectrum Pharmaceuticals	Melphalan	Multiple myeloma	Injection
Carnexiv	Lundbeck	Carbamazepine	Antiepileptic	Injection
Baxdela	Baxdela	Delafloxacin	Antibacterial	Tablet, intravenous injection

at lower doses it reduces the possible drug toxicity. A list of marketed formulations based on SBE- $\beta$ -CD inclusion complexes is presented in Table 5. SBE- $\beta$ -CD was found to significantly decrease the eye irritation of an ophthalmically applied pilocarpine prodrug solution for miotic response. It reduces the amount of free drug concentration in the precorneal area to a non-irritating level<sup>[24]</sup>. In a study done by Nagase *et al.*<sup>[31]</sup> to evaluate the cytotoxicity of various drugs, excipients and CD in immortalized human corneal epithelial cell line, SBE- $\beta$ -CD were found to be least toxic<sup>[31]</sup>. Poorly water soluble drugs tend to have toxicities as they remain in the crystalline form when used in parenteral formulation, which can be effectively reduced by formation of complexes with SBE- $\beta$ -CD. A lipid emulsion based marketed formulation of propofol is reported to have some undesirable properties such as serious allergic reactions and also supports microbial growth. Formation of a SBE- $\beta$ -CD-based formulation of propofol has been found to be advantageous as there is reduction of incidences of formulation based side effects<sup>[51]</sup>. SBE- $\beta$ -CD was found to inhibit cytotoxicity towards human umbilical vein endothelial cells by DY-9760e and also significantly suppressed the drug-induced vascular damage in rabbits<sup>[31]</sup>.

In a study conducted for a parenteral preparation of voriconazole where SBE- $\beta$ -CD was used as solubilizing agent, no apparent effects were found on respiratory or cardiovascular system, autonomic and somatic nervous system<sup>[72]</sup>. At a dose of 1500 mg/ml no histopathological evidence of toxicity was found in kidney of dogs. But at dose of 3000 mg/ml (this dose of SBE- $\beta$ -CD is 50 folds higher than what is normally administered) mild toxicity in the kidney and liver was observed. Renal tubular vacuolation and foamy

macrophages in the liver and lungs was noted. Studies conducted on subjects with renal dysfunction revealed that the plasma level of the accumulated SBE- $\beta$ -CD did not pose any deleterious effects on the renal function. SBE- $\beta$ -CD is primarily cleared by kidney and renal dysfunction results in accumulation of SBE- $\beta$ -CD in plasma. Luke *et al.* studied the dialyzability of SBE- $\beta$ -CD in patients with renal dysfunction. Haemodialysis effectively removed SBE- $\beta$ -CD from the vascular space<sup>[73]</sup>. Hoover *et al.* examined the PK and safety of SBE- $\beta$ -CD by administration of intravenous infusions containing SBE- $\beta$ -CD in subjects with renal impairment. The study concluded that decreased renal function resulted in reduced SBE- $\beta$ -CD clearance. However, SBE- $\beta$ -CD exhibited good safety and tolerability profile<sup>[74]</sup>. In another study SBE- $\beta$ -CD was shown to be well-tolerated in high doses when administered intraperitoneally in mice. Histological lesions were absent in mice receiving SBE- $\beta$ -CD and did not show any significant anticoagulant activity suggesting that they were biologically safe<sup>[75]</sup>.

SBE- $\beta$ -CD is a versatile derivative of the parent  $\beta$ -CD. The ability to solubilize poorly soluble drugs is higher than parent  $\beta$ -CD due to the presence of hydrophobic butyl moiety. SBE- $\beta$ -CD can be applied for use in formulation of various drug delivery systems incorporating a variety of guest molecules ranging from small molecules to large compounds, peptides and proteins. An optimised inclusion complex can be an efficient strategy to increase the solubility of poorly soluble compounds and provide stability to a large number of active pharmaceutical ingredients. Being able to be produced from extremely simple processes, SBE- $\beta$ -CD inclusion complexes can be a viable technique to reduce the dose of the drug candidates

and thereby possibly reducing the occurrence of drug related toxicities.

### Conflict of interest:

The authors would like to declare no conflicts of interest.

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